

Graduate Affairs Committee
March 26, 2002
3:30 p.m. - 5:00 p.m.
UL 1126

AGENDA

1. Approval of the minutes for February 26, 2001 Queener
2. Vice Chancellor's Report.....Brenner
3. Associate Dean's Report..... Queener
4. Graduate Office Report..... Andrew-Mohr
5. GSO Report..... Wagner
6. Committee Business
Fellowship Subcommittee Report Andrew-Mohr
8. Program Review..... Queener
Ph.D. in Philanthropic Studies
9. Discussion Queener
NCA Data Request
Graduate School Certificates
10. New Business.....
11. Next Meeting (April 23) and adjournment

Graduate Affairs Committee
March 26th, 2002
Minutes

Present: Margaret Adamek, James Baldwin, William Bosron, Jon Eller, Marvin Kemple, Sherry Queener (co-chair), Sarah Wagner

Staff: Joelle Andrew-Mohr and Monica Ridge
Guests: Dwight Burlingame, Ph.D. - Associate Executive Director of the Center on
Philanthropy
Debra Mesch, Ph.D. - Chair of Philanthropic Studies Faculty

Approval of the minutes - Dr. Queener

The committee approved the minutes from the February 26th, 2002 meeting.

Program Review

Ph.D. in Philanthropic Studies - Dr. Queener

Dr. Queener introduced Dr. Dwight Burlingame, Associate Executive Director of the Center on Philanthropy and Dr. Debra Mesch, Chair of Philanthropic Studies Faculty. Two reviews of the Ph.D. in Philanthropic Studies were submitted and circulated. A master's degree of 30 hours or an equivalent master's degree in philanthropic studies is an admissions requirement for the proposed PhD program. The program consists of 60 hours: 12 hours of required courses, 12 hours for a minor, 6 research method hours, 6 elective hours, a capstone of 3 hours, and 21 hours of dissertation credit. The School of Liberal Arts has approved the proposal. The first reviewer for the Graduate Affairs Committee voted to accept without revisions. The second reviewer voted to accept with revisions. The second reviewer was concerned about potential course work overlap with the masters program. Dr. Burlingame stated that there would not be an overlap. There are already several doctorate level seminars in existence. The second reviewer also noted that the proposal authors may want to consider an internship. Dr. Burlingame stated that those who would be entering the program would either have several years of work experience or would have participated in an internship during their masters program. The GAC noted concerns regarding a student's ability to refine research skills. Dr. Burlingame noted that the program is designed so that students would have the opportunity to refine their research skills under the direction of their research committee; this is why there are 21 required hours of dissertation credit. The GAC noted concern regarding resistance from other masters programs in philanthropic studies that claim their program is the "appropriate terminal degree" for this field. Dr. Burlingame and Dr. Mesch both noted that students currently are forced to obtain their Ph.D. in other fields, such as public affairs, and minor in philanthropic studies because there is no Ph.D. in this field. The GAC also noted concern about the logistical problems of the program being housed on two campuses. Dr. Burlingame noted that they have taken advantage of web-based instruction, Polycom two-way television technology, and holding seminars part time on the Bloomington campus, and part time on the Indianapolis campus. All methods have been successful. He feels they will continue to refine these instruction methods in order to reduce logistical concerns. Dr. Queener noted that there is not a quorum and that votes would need to be elicited electronically. All those present at today's meeting voted to accept this proposal.

Associate Dean's Report - Dr. Queener

Dr. Queener distributed a notice for an open forum regarding academic integrity being held April 4 from 10:00 a.m. - 12:00 p.m. Student Life and Diversity is sponsoring this discussion. Results obtained from a survey distributed to undergraduate students and undergraduate and graduate faculty regarding cheating will be presented. Also, the new "Turn It In" software will be discussed. If purchased, this software will help faculty ferret out plagiarism. Student's papers could be compared in a database against other student's papers or other sources. Dr. Queener noted that there are issues regarding warning students that their material will be entered into a database.

Graduate Office Report - Joelle Andrew-Mohr

For schools with Ph.D. programs, \$1200 has been allocated per Ph.D. program per year for recruitment purposes. These funds are only available one more year. In order to obtain this money, schools will need to supply Joelle with an account number, and she will transfer these funds into the designated account. At the end of the fiscal year, Joelle will need a report indicating how the funds were spent.

The student activity fee allocations for the fall semester have recently been made to the schools. Last year, the GSO had a budget carry over at the end of the year. The reason for this is because of the way the Bursar allocates the student activity fees. As students pay their bills, the fees are collected into an account and then one lump sum is transferred into the GSO account. This year, the last "lump sum" deposit of Fall fees happened in February. The GSO has decided to make the fall allocations during the spring semester. Student activity fees are divided up between student government, Sagamore, and the Student Center; therefore the entire student activity fee is not deposited into the GSO account. Currently there is a discussion going on in Student Life Services Council about whether or not the student activity fee allocation process needs to be reviewed.

There will be two WebCenter training sessions per week until July of this year. During the first six weeks of training, basic skills will be reviewed. From mid April through June, advanced topics will be reviewed, and during the month of June, IUIE will be the main topic. Joelle asked the GAC to encourage faculty and staff to take training classes. Also, staff and faculty may register for classes, and find additional information, at the following URL:
<http://www.cs.iupui.edu/~jbivens/webcenter>.

Subcommittee Business

Fellowship Subcommittee - Joelle Andrew-Mohr

Fifty-four people were offered fellowships. As of today 10 have accepted, 4 of which are Ph.D. accepts. The deadline for acceptance is April 15th.

Graduate Student Organization (GSO) Report - Sarah Wagner

At the last GSO meeting, the GSO voted in favor of the up to \$10 per student, per semester fee, for Student Legal Services. The GSO did encourage those establishing this office to apply for grant funds in order to get the office up and running.

Dr. Queener noted an issue regarding copyright agreements; students will need to know to expect to sign a copyright agreement if they are involved in writing within the programs. This could be a topic addressed at the Graduate Student Orientation program.

Discussion**NCA Data Request - Dr. Queener**

The North Central Association of Colleges and Schools has distributed their accreditation survey. This survey has been sent out to the GAC for completion by each school (figures are not needed for the M.D., D.D.S., or J.D. programs). Dr. Queener feels that the data will be more accurate if it comes directly from the schools. The deadline for submitting the survey is Friday, April 12th.

Graduate School Certificates - Dr. Queener

Bloomington does not currently have graduate level certificate guidelines. The graduate certificate guidelines developed by the GAC were shared with Graduate Council in Bloomington. Dr. Queener is working on a draft outlining three types of graduate certificates and hopes to have it ready for the May 6th Graduate Council Meeting.

Next meeting date

The next meeting will take place on April 23rd, at 3:30 p.m., UL1126.

Meeting adjourned at 4:20 p.m.

Proposal for a Ph.D. in Philanthropic Studies

Ph.D. Feasibility Committee Members:

Debra Mesch, Committee Chair and Chair of Philanthropic Studies Faculty
Dwight Burlingame, Committee Coordinator, Associate Executive Director COP
Kathy Agard, Director, K-12 Education in Philanthropy, Council of Michigan Foundations
Wolfgang Bielefeld, Associate Professor, SPEA, IUPUI
Conrad Cherry, Director Center for the Study of Religion and American Culture, IUPUI
Maureen Egan, Assistant Vice President for Institutional Advancement, Saint Joseph's College
Leslie Lenkowsky, Professor of Philanthropic Studies and Public Policy, IUPUI
Angela McBride, Dean, Indiana University School of Nursing
Sherry Queener, Associate Dean, Graduate School
Gerald Powers, Director of Ph.D. Programs, IU School of Social Work, IUPUI
Nancy Robertson, Assistant Professor, Department of History, IUPUI
David Smith, Director, Poynter Center, IUB
Mary Tschirhart, Associate Professor, SPEA, IUPUI

Final Proposal Committee Members:

Debra Mesch, Committee Chair and Chair of Philanthropic Studies Faculty
Dwight Burlingame, Committee Coordinator, Associate Executive Director of the COP
Wolfgang Bielefeld, Associate Professor, SPEA-IUPUI
Angela McBride, Dean, Indiana University School of Nursing
William Schneider, Professor, Department of History, IUPUI
David Smith, Director, Poynter Center, IUB

February, 2002

A. ABSTRACT

This proposal outlines a new Doctor of Philosophy in Philanthropic Studies at both the IUPUI and Bloomington campuses.

Objectives

The program is designed to prepare future researchers and leaders in the world of philanthropy, higher education, and nonprofit organizations. The major goal of the program is to prepare future leaders who assist in the solving of social problems from the perspective of understanding the social relationships of philanthropy. The Ph.D. will prepare students for academic positions as well as research and leadership positions in nonprofit organizations.

Clientele to be Served

The program is designed for students desiring advanced study to prepare them for teaching and research in the field of philanthropic studies and for practitioners working in upper level management positions in nonprofit organizations who desire advanced education relating to philanthropy.

Curriculum

30 semester hours from a masters degree or equivalent program, and 60 additional hours for the Ph.D. distributed in the following categories:

- 12 hours of required courses
- 12 hours for the minor
- 6 hours of research methods
- 6 hours of electives
- 3 hours of capstone
- 21 hours of dissertation credit

Subject Area of Required Courses: The required and core courses cover the theoretical and interdisciplinary nature of the field of philanthropic studies. The Ph.D. is designed to develop in students the knowledge, values, and skills necessary to enable them to enhance the knowledge base of the field, to utilize interdisciplinary research methods to pursue the understandings of philanthropy, and to contribute to the understanding of effective practice of philanthropy. The proposed curriculum is designed to achieve these goals. Doctoral seminars include topics of (1) ethical, moral, and religious aspects of philanthropy, (2) historical and cultural perspectives of philanthropy, (3) the role of philanthropy and nonprofit organizations in society, as well as courses in philosophy of science and research methods.

Prerequisites: Before admission to the Ph.D. program, students must complete a Masters degree in Philanthropic Studies or at least 30 credits of equivalent graduate course work. Equivalent work will be determined by the admission's committee. Examples include courses in Nonprofit Management, Civil Society, Philanthropic history, ethics, religion and philanthropy, etc.

Internships or Practica: Ph.D. students are required to have an internship in which they applied previous learned concepts to practical situations. If this has not been done as part of the 30

semester hours from a masters or equivalent program, this can be accomplished within the elective or the minor focus. In addition, this requirement can be met, with the major advisor's approval, by a candidate with more than three years of professional experience in the field, by substituting a reflective report of theory applied to practice or other appropriate experience that accomplishes this learning objective.

Unique and Innovative Features: This degree is a multi-site, interdisciplinary program developed by representative faculty of Philanthropic Studies across the Bloomington and IUPUI campuses to be administered by the Center on Philanthropy offices in Indianapolis and Bloomington. Students admitted to the Ph.D. program will be encouraged to take courses on both campuses as determined by the student's approved plan of study. Degrees will be awarded by the Indiana University Graduate School. Faculty advisors and dissertation committee members can consist of faculty across both campuses. This will be the first Ph.D. in Philanthropic Studies in the country.

Employment Possibilities

This program will prepare students for academic and research careers as well as for leadership careers in the nonprofit sector (such as foundations, universities, and other large nonprofit organizations). A number of students will be employed and may be seeking the degree as a condition of their continued development or advancement in their current positions.

B. PROGRAM DESCRIPTION

1. Description of Proposed Program and Objectives

This is a proposal to award the Ph.D. in Philanthropic Studies at IUB and IUPUI. The proposed program has been developed by a committee of the Philanthropic Studies faculty that represents faculty across several academic units from both Bloomington and IUPUI.

Philanthropic Studies is a field of inquiry built upon an interdisciplinary theoretical examination of philanthropy, which is a social practice, while also providing an understanding of the individual side of philanthropic behavior and the structures that support voluntary activity. The interdisciplinary approach to philanthropic studies allows for the treatment of the distinct characteristics of philanthropy and the nonprofit sector. Philanthropy is first a human and social activity. The humanities and social sciences enable us to explore its core values while thinking carefully about its scope and limits. The methodologies of the social sciences, the humanities, and professional disciplines are applied to understanding the processes of giving and volunteering from the donor's perspective and of volunteer involvement and fundraising from the organizational perspective. The proposed Ph.D. in Philanthropic Studies is grounded in the liberal arts and is complemented by expertise in the professional schools. Research in this field will build the knowledge base and inform the practice of fundraising, grant-making, volunteer involvement, leadership in nonprofit, public, and private philanthropic organizations and other public service programs, which lead to a "civil society."

Program Goals. The Ph.D. will prepare students either for academic positions or research and leadership positions in nonprofit organizations. The major goal of the program is to prepare

future leaders who assist in the solving of social problems from the perspective of understanding the social relationships of philanthropy. The general goal of the Ph.D. Program in Philanthropic Studies is the development of leaders who, through their scholarly contributions and original research, will contribute to the knowledge base of philanthropic studies. The field of philanthropic studies is appropriately interdisciplinary as it seeks to examine a social action that attempts to address the needs of the common good through voluntary action (giving, service, and association). Given its dual focus on civil society and public policy, the program draws upon and explores its relationship with a wide range of academic disciplines, such as: economics (e.g., giving and volunteering of nonprofit organizations or nongovernmental organizations), as well as political science, psychology, sociology, philosophy, history, religious studies, and anthropology.

The Ph.D. Program is designed to develop in students the knowledge, values and skills necessary to enable them to:

- ◆ enhance the knowledge base of the field from a variety of disciplinary and interdisciplinary perspectives.
- ◆ utilize interdisciplinary research methods to pursue thoroughgoing critical inquiry into the cultural, philosophical and historical understandings of philanthropy.
- ◆ contribute to the understanding of effective and reflective practice.
- ◆ utilize social science and humanities methods to acquire, synthesize, and evaluate the generic philanthropic studies knowledge base.
- ◆ engage in debate and discussion about the proper role and objectives of philanthropy in meeting social needs in a just society.
- ◆ transmit knowledge in the field of philanthropic studies.
- ◆ appropriately integrate selected knowledge and skills derived from at least one other academic discipline or professional field of practice into the knowledge base of philanthropic studies.
- ◆ conduct independent research in at least one methodological area with a thorough understanding of different paradigmatic assumptions and how they influence the research process.
- ◆ demonstrate expertise in a particular substantive area of philanthropic studies.

The culmination of these goals is the presentation and defense of a doctoral dissertation that makes a significant contribution in the form of an original scholarly product.

2. Admission Requirements, Student Clientele, and Financial Support

a. Admission Requirements. Admission into the Ph.D. will be based on the standard policies and procedures of the Indiana University Graduate School. This includes formal application to the department of Philanthropic Studies for admission to the Ph.D. program in Philanthropic Studies; completion of prerequisites as specified in 2b below; three letters of recommendation; a letter grade of B or higher in all courses applied toward requirements; and certification of completion of the GRE or equivalent.

b. Prerequisites. Before admission to the Ph.D. program, students must complete a Masters degree in Philanthropic Studies or at least 30 credits of equivalent graduate course work. Equivalent work will be determined by the admission's committee. Examples include courses in Nonprofit Management, Civil Society, Philanthropic history, ethics, religion and philanthropy.

c. Student Clientele. The clientele for the Ph.D. would primarily consist of graduates of the MA in Philanthropic Studies or other related degrees, or practitioners in upper level positions in nonprofit organizations. The program is designed to meet the needs of both part-time as well as full-time students. (Refer to section "Demand and Employment Factors" found on page 21).

d. Enrollment Limits. The number of students in the Ph.D. program is expected to be small, four to six students admitted annually with an upper limit of approximately fifteen. Enrollments will be limited by the admission committee applying the following selection criteria:

- Leadership potential. Assessed by evaluation of vita and personal statement
- Ability for engaging in advanced graduate work. Assessed by evaluation of letters of reference and grade point average in prior graduate work
- Learning goals and objectives. Assessed by evaluation of personal statement

e. Financial Support. Students will be supported by the usual sources for graduate programs in the School of Liberal Arts, including fellowships, research assistantships, and teaching assistantships at IUPUI and well as the usual sources for graduate programs in the College of Arts and Sciences and the Graduate School at Bloomington. We anticipate no new State funds for this Ph.D. program. Private funding will be sought to fund full-time students in the form of fee remission as well as support for one FTE faculty line. The COP foresees applying for additional support for student scholarships and fellowships for which dollars would be available to Ph.D. students. Research grants received by the COP also could provide assistantship opportunities for Ph.D. students. It is anticipated that at least two or three students could be supported in part by these funds during the next three to five years on either or both campuses.

3. Proposed Curriculum

a. Curriculum Requirements. The Ph.D. program in Philanthropic Studies is based on a curriculum supported by research and a faculty that is already in place at IUPUI and IUB. The MA degree in Philanthropic Studies was started in 1993 at IUPUI. This degree requires 36 credit hours which includes 18 credit hours in core areas of Philanthropic Studies including the history

of philanthropy, ethics and values of philanthropy, human and financial resources for philanthropy, a survey of the size and scope of the field and comparative civil society. An internship and a research option, which may include a thesis, are also required. The Ph.D. minor in Philanthropic Studies was started in 1995 and is available on both campuses. This minor allows current doctoral students in various fields to take an organized body of courses focusing on the history, culture, and values of philanthropy with their defined major area of study.

The minimum requirements for the Ph.D. in Philanthropic Studies are ninety credit hours of advanced study, of which 30 semester hours may be transferred from a masters degree or equivalent program which has covered the concepts of philanthropic studies as described in Indiana University's M.A. in Philanthropic Studies program.

The hours for the Ph.D. are distributed in the following categories:

- 12 hours of required courses
- 12 hours for the minor
- 6 hours of research methods
- 6 hours of electives
- 3 hours of capstone
- 21 hours of dissertation credit

The following sections provide an outline of the proposed curriculum¹:

A. Core Courses. All students will be required to take the core courses that include 12 credit hours of doctoral seminars in Philanthropic Studies:

Phst 660: Seminar in Ethical, Moral and Religious Aspects of Philanthropy (3crs.)

In depth readings of major ethical and moral texts that explain and justify philanthropy are covered. The philosophy of philanthropy in comparative perspective is drawn from world traditions of social and religious conditions in which human agency is exercised. Moral issues raised by the practice of philanthropy are vast and emphasis may vary depending on current foci and interests of faculty and students.

Phst 662: Seminar in Historical and Cultural Perspectives of Philanthropy (3crs.)

Through extensive readings and research, students cover the history of philanthropy from the earliest times to contemporary time. Students will gain a cross-cultural perspective of philanthropy as socially and historically conditioned. Topics such as race, gender and ethnic philanthropy that cut across geographic, cultural, and chronological periods will be covered.

Phst 664: Seminar in the Role of Philanthropy and Nonprofit Organizations in Society (3crs.)

Social, psychological, political, and economic theories are often used to explain philanthropy and the practice of philanthropy through organizations in society. Major theoretical concepts such as contract failure, social origins theory, voluntary failure, and serial reciprocity will be covered as well as other theoretical and empirical work related to major issues in the field.

¹ A number of the following courses currently exist. See Section 3 d.

Phst 666: Modes of Inquiry (3crs.)

This required course includes examining ways of knowing related to philanthropic studies. An in-depth exploration of epistemological issues and tools; forces that affect the conduct and use of knowledge; and explanations of why things happen and ethical issues that researchers face. (This requirement could be met by S720, Philosophy of Science and Social Work, or V680, Research Design and Methods in Public Affairs, among others).

B. Research Methods. Students will be required to complete six credits of research methods. (The research methods requirement for both the quantitative and qualitative research methods courses will be met by enrolling in existing courses or through previous graduate work that frees up the six hours for other electives²).

Quantitative Research Methods (3crs.)

This is not a new course. This requirement will be fulfilled by an existing disciplinary research methods course in the department that most closely represents the student's major. The selected course, subject to approval, will examine quantitative research methods. Topics in quantitative research may include (but are not limited to) interpretation of multiple regression analysis, survey methods, program evaluation, path models, forecasting, and descriptive and experimental design.

Qualitative Research Methods (3crs.)

This is not a new course. This requirement will be fulfilled by an existing disciplinary research methods course in the department that most closely represents the student's major. The selected course, subject to approval, will examine qualitative research methods. Topics covered may include (but are not limited to) ethnography, case study, participant observation, and interviews.

C. Minor Area. Students will be required to enroll in at least twelve credits of advanced studies in a declared minor area. A student will select a minor that meets their needs in consultation with their advisory committee. Within the minor area, a student will meet the advanced methods and skills requirement. A list of established Ph.D. minors from which students might choose include the following: Nonprofit Management, SPEA, History, Religious Studies, African Studies, American Studies, Business; Education, Sociology, Philosophy, Nursing, Economics, Political Science.

It is also possible that the student with the approval of the Director of the Graduate Program in Philanthropic Studies might design an individualized minor. For example, Arts Administration or Museum Studies might be a focus area of the student. Appropriate graduate courses in areas such as Music, Arts Administration, theater, and Museum Studies, might be chosen to make up the individualized minor.

Advanced Research Methods (3crs.)

This is not a new course. The same list of potential research methods courses found in Section 3d would apply here as well. The purpose of this requirement is to address the research methods needs of particular students, depending upon the research area that students pursue. For

² See Section 3 d for a listing of currently offered courses at Indiana University that would meet the research methods requirement.

example, if students were to do a social science research project, they would be expected to take an advanced quantitative methods course that would support their research need. If students were to do a liberal arts research project, they may pursue a different type of advanced research course.

D. Electives. Students may take six credits of electives. Electives that meet the student's needs will be determined in consultation with the advisory committee. There is no language requirement for students. If it is determined that a language requirement is needed for the chosen area of study, credits for the requirement can be applied to the elective category.

E. Capstone Course (3 credits).

Phst 790 Dissertation Seminar in Philanthropic Studies

Students will develop a thesis topic and begin research with an emphasis on critical evaluation of each other's work. This course is designed as a capstone for their philanthropic studies coursework and should be taken prior to beginning their dissertation and near the end of their other coursework. This course is intended to provide students with a final opportunity to come together before embarking on their dissertations, and to get important feedback on their proposed research from the multiple disciplinary perspectives that will be represented in the program by students and faculty.

F. Dissertation, Qualifying exams, and Advisory Committee

Dissertation: Phst 890. Students may enroll in up to 21 credits of dissertation work, after completion of Phst 790. One of the primary reasons of doctoral education is the development of knowledge, which will help solve intellectual problems that have both theoretical and practical significance to the field. This is of special importance for philanthropic studies since we are at the forefront of building a new field of study. Students will be expected to utilize cross-disciplinary methods as they pursue original research in philanthropic studies.

Qualification examination. Near and usually in the last semester of course work, students will be required to take a written qualification examination in philanthropic studies. Because of the interdisciplinary nature of the new program, it is envisioned that the exam will be developed by faculty across several academic units, representing the range of fields in Philanthropic Studies. The examination may also include a declared outside minor field, if required by the minor-field department. Registration to take the qualifying exam must be made at least 30 days in advance to taking the exam, which will normally be given in October and February of each academic year.

Advisory Committee. All doctoral students will have an Advisory Committee, two of which must be from the major area and one from another area (usually the minor area). The chair must have full graduate status and be authorized to supervise philanthropic studies doctoral dissertations. If special expertise is held by a scholar external to IU, one such member may be added to the committee with the approval of the departmental chairperson and the associate dean of the graduate school. All other members of the committee must be members of the graduate school. At least half of the members must have full status, others may be associate or affiliate

members. The Advisory Committee will approve the student's program of study and counsel the student until the passing of the qualifying examination.

b. Sample Curricula. Each student's plan of study will be unique, depending upon their full-time or part-time status. Although all students will be required to fulfill all program requirements, there will be differences as to when full-time and part-time students complete these requirements. The course sequence and timing of courses will depend on the mix of entering full and/or part-time students. Shown below is a sample plan of study for a **full time** student who already has a Master's degree or equivalent. For part-time students, this course sequence may be spread out over a longer period of time, or offered in a more intensive format.

Sample Ph.D. in Philanthropic Studies Curriculum

New Transfer Credits

Philanthropic Studies Courses or directly related courses 30 Cr. Hrs.

First Year Fall Semester:

PHST 660 Ethical, Moral, and Religious Aspects of Philanthropy* 3 Cr. Hrs.

PHST 664 Role of Philanthropy and Nonprofits Organizations in * 3 Cr. Hrs.
Society

S720 Phil. of Science & Social Work or V680 Research Design and 3 Cr. Hrs.
Methods

First Year Spring Semester:

PHST 662 Historical and Cultural Perspectives of Philanthropy * 3 Cr. Hrs.

Research methods I 3 Cr. Hrs.

Minor Specialization I 3 Cr. Hrs.

Minor Specialization II 3 Cr. Hrs.

Second Year Fall Semester:

Research Methods II 3 Cr. Hrs.

Minor Specialization III 3 Cr. Hrs.

Elective I 3 Cr. Hrs.

Second Year Spring Semester:

Minor Specialization IV 3 Cr. Hrs.

Elective II 3 Cr. Hrs.

PHST 790 Dissertation Seminar in Philanthropic Studies* 3 Cr. Hrs.

Qualification exam would be taken during this semester

Third/Fourth Years

Doctoral Dissertation.....Total 21 Cr. Hrs.

Grand Total = 90 credit hours

Course titles followed by an asterisk (*) are to be developed. See course descriptions in Section "d" below.

c. Existing Courses. None of the courses identified above with an asterisk currently exist. The research methods, electives and minor courses exist within the schools or departments with which the philanthropic studies students collaborate. The major departments and areas from which these courses are drawn include the School of Public and Environment Affairs, Social Work, Education, Sociology, Political Science, Psychology and History on both campuses, and Religious Studies in Bloomington. Additional departments may provide courses that fulfill the minor as illustrated in Section B3aC above. Students have the option of many other relevant courses that could be taken to complete their plan of study, once the core and required course credit hour minimums have been met.

d. New Courses. Because of the extensive experience and expertise of the philanthropic studies faculty, Indiana University is well-positioned to undertake the proposed Ph.D. program—particularly in the areas of teaching and research. For the proposed Ph.D., three new doctoral level courses will need to be developed (Phst. 660; 662; 664). Additionally the capstone course, Phst 790, will be a new course that is required for students at the end of the course sequence as well as Phst 890, Dissertation credit.

Listed below are current faculty of Philanthropic Studies who (1) have taught or are currently teaching courses at the doctoral level that are similar to these courses in the proposed Ph.D. curriculum, or (2) are currently teaching courses at the masters level that are in subject areas from which Ph.D. courses are specified in the proposed curriculum:

PHST 660: Seminar in Ethical, Moral, and Religious Aspects of Philanthropy.

David Smith, Professor, Religious Studies and Philanthropic Studies, IUB and Director, Poynter Center

Richard Gunderman, Assistant Professor, Medical Education, Philosophy and Philanthropic Studies

David Craig, Assistant Professor, Religious Studies and Philanthropic Studies, IUPUI

PHST 662: Seminar in Historical and Cultural Perspectives of Philanthropy.

Lawrence Friedman, Professor, History and Philanthropic Studies, IUB

Andrea Walton, Assistant Professor, Education and Philanthropic Studies, IUB

William Cohen, Professor, History and Philanthropic Studies, IUB

Kevin Robbins, Associate Professor, History and Philanthropic Studies, IUPUI

Nancy Robertson, Assistant Professor, History and Philanthropic Studies, IUPUI

William Schneider, Professor, History and Philanthropic Studies, IUPUI.

PHST 664: Seminar in the Role of Philanthropy and Nonprofit Organizations in Society.

Wolfgang Bielefeld, Associate Professor, SPEA and Philanthropic Studies, IUPUI

Dwight Burlingame, Professor, Philanthropic Studies, University Libraries, and Associate Director COP-IUPUI

Kirsten Gronbjerg, Professor, Efroymson Chair of Philanthropic Studies, SPEA, IUB and IUPUI

Richard Steinberg, Professor, Economics and Philanthropic Studies, IUPUI

PHST 790: Dissertation Seminar in Philanthropic Studies
Dwight Burlingame, Professor, Philanthropic Studies

PHST 890: Dissertation

The Dissertation advisor, chosen from the Philanthropic Studies graduate faculty with full status, will supervise the dissertation credit.

Courses that are currently being taught by other departments could be dual-listed with Philanthropic Studies. Specifically, the research methods course requirements will be met through currently offered courses at both Bloomington and IUPUI campuses. The following is a sample list of possible courses:

PHST 666: Modes of Inquiry. S720 Philosophy of Science and Social Work, or V680 Research Design and Methods in Public Affairs

Quantitative Research Methods. Y575 Political Data Analysis I, Y576 Political Data Analysis II, S554 Statistical Techniques in Sociology I, S650 Statistical Techniques in Sociology II, P653 Analysis of Variance, P654 Multivariate Analysis, P658-P659 Mathematical Models in Psychology I-II, S727 Advanced Social Work Research Methods: Quantitative Research

Qualitative Research Methods. V601 Qualitative Research and Evaluation, S726 Advanced Social Work Research Methods: Qualitative Research. This requirement could also be met by previous graduate qualitative education courses.

Additionally, there are a multitude of research methods courses that are offered at the Masters level that could satisfy the above requirements.

In terms of possible electives or courses for a minor area, Indiana University is able to offer a host of courses that are currently offered. (See Appendix A for list of possible electives or courses for minor).

e. Courses From Another Institution. Since this is a multi-site, collaborative program across the Bloomington and IUPUI campuses, courses may be utilized from both of these campuses. Additionally, coursework other than the M.A. in Philanthropic Studies that have been completed outside of IU may be transferred into the Ph.D. This includes coursework prior to beginning the Ph.D. as well as coursework completed after matriculation into the Ph.D. All students will be required to take Phst 660, Phst 662, Phst 664, Phst 666, and Phst 790. However, coursework for the research methods requirements as well as their minor may be transferred from another institution, with the approval of the student's academic advisor, as long as it does not exceed the transfer of credits specified by the graduate school academic regulations.

4. Form of Recognition

a. Degree. The Doctor of Philosophy will be awarded after completing the requirements of the Ph.D. in Philanthropic Studies. This is the appropriate terminal degree for recognition in this field.

b. CIP Code.

c. Diploma Information. The Ph.D. diploma will read: Doctor of Philosophy, Philanthropic Studies, Indiana University.

5. Philanthropic Studies Faculty and Administration

a. Listing of Faculty. Ultimate authority for the Ph.D. in Philanthropic Studies will be with the Indiana University Graduate School. Both IUPUI and Bloomington will have site approval to award this degree. Both campuses will share in administering the goals and policies of the Ph.D. in Philanthropic Studies as well as the progress of individual students. The degree will be awarded by the faculty of Philanthropic Studies, with the Center on Philanthropy serving as the central administrative structure to oversee the awarding of the degree. The Philanthropic Studies Executive committee and the Director of Academic Programs of the Center on Philanthropy will provide coordination.

Faculty from Philanthropic Studies across the Bloomington and IUPUI campuses who will play a role in the Ph.D. in Philanthropic Studies are listed below.

Constance Baker, Ed.D. (Columbia University, 1977) Professor of Nursing Administration and Philanthropic Studies, (IUPUI) Health Administration, International Health and Philanthropy

Wolfgang Bielefeld, Ph.D. (University of Minnesota, 1990) Associate Professor of Public and Environmental Affairs and Philanthropic Studies (IUPUI) Nonprofit Management, Civil Society, and Organizational Theory

Robert Bringle, Ph.D. (University of Massachusetts, 1974) Professor of Psychology and Philanthropic Studies (IUPUI) Service Learning

Dwight Burlingame, Ph.D. (Florida State University, 1974) Professor of Philanthropic Studies and University Libraries (IUPUI) Nonprofit Organizations, Development and Libraries, Corporate Citizenship and History of American Philanthropy

William Cohen, Ph.D. (Stanford University, 1968) Professor of History and Philanthropic Studies, (IUB) French and European History and Philanthropy

Ulla Connor, Ph.D. (University of Wisconsin, 1978) Professor of English and Philanthropic Studies (IUPUI) Intercultural Communications, Linguistics, and Philanthropic Discourse

David Craig, Ph.D. (Princeton University, 1998) Assistant Professor of Religious Studies and Philanthropic Studies (IUPUI) Religion, Ethics and Philanthropy

Lawrence Friedman, Ph.D. (UCLA, 1967) Professor of History American Studies and Philanthropic Studies (IUB) History of American Philanthropy and Psychiatry

Kirsten Gronbjerg, Ph.D. (University of Chicago, 1974), Professor of Public and Environmental Affairs and Philanthropic Studies (IUB, IUPUI) Nonprofit Organizations, Financial Resources for Nonprofits, Research Methods, Urbana Sociology

Richard Gunderman, Ph.D., M.D. (University of Chicago, 1989, 1992) Assistant Professor of Radiology, Medical Education, Philosophy and Philanthropic Studies (IUPUI) Ethics, Pediatric Radiology, and Philanthropy

Robert Katz, JD, (University of Chicago, 1992) Associate Professor of Law and Philanthropic Studies (IUPUI) Nonprofit Organizations and the Law

Sheila Kennedy, JD, (Indiana University, 1975) Assistant Professor of Public and Environmental Affairs and Philanthropic Studies (IUPUI) Charitable Choice, Civil Liberties and Philanthropy

Elizabeth Kryder-Reid, Ph.D. (Brown University, 1991) Assistant Professor of Anthropology and Philanthropic Studies (IUPUI) Museum Studies

Angela McBride, Ph.D. (Purdue University, 1978) Distinguished Professor of Nursing and Philanthropic Studies. Women's Health, Psychology, Women and Philanthropy

Astrid Merget, Ph.D. (Syracuse University, 1973) Professor of Public and Environmental Affairs and Philanthropic Studies (IUB, IUPUI) Community Benchmarking

Debra Mesch, Ph.D. (Indiana University, 1990), Associate Professor of Public and Environmental Affairs and Philanthropic Studies (IUPUI) Human Resource Management, Volunteer Management, National and Community Service

Una OkonKwo Osili, Ph.D. (Northwestern University, 1999) Assistant Professor of Economics and Philanthropic Studies (IUPUI) International NGO's and Economic Development

James Perry, Ph.D. (Syracuse University, 1974) Chancellor's Professor, Public and Environmental Affairs and Philanthropic Studies (IUPUI) Public and Nonprofit Management, Public Policy, National Service

David Reingold, Ph.D. (University of Chicago, 1996), Assistant Professor of Public and Environmental Affairs and Philanthropic Studies (IUPUI) Urban Sociology, Welfare Reform, Economic Development and Nonprofit Organizations

Kevin Robbins, Ph.D. (Johns Hopkins University, 1991) Associate Professor of History and Philanthropic Studies (IUPUI), European History and Philanthropy

Nancy Robertson, Ph.D. (New York University, 1997) Assistant Professor of History and Philanthropic Studies (IUPUI), American History of Philanthropy

Patrick Rooney, Ph.D. (Notre Dame, 1987) Associate Professor of Economics and Philanthropic Studies (IUPUI), Giving and Volunteering

Herman Saatkamp, Ph.D. (Vanderbilt University, 1972) Professor of Philosophy, Medical Humanities, Medical and Molecular Genetics, and Philanthropic Studies (IUPUI) Ethics, History of Ideas, American Philosophy

William Schneider, Ph.D. (University of Pennsylvania, 1976) Professor of History and Philanthropic Studies (IUPUI) History of Medicine and Philanthropy

David Smith, Ph.D. (Princeton University, 1967) Professor of Religious Studies and Philanthropic Studies (IUB) Religion and Ethics, Moral Issues in Giving, Medical Ethics, Teaching of Ethics

Richard Steinberg, Ph.D. (University of Pennsylvania, 1984) Professor of Economics and Philanthropic Studies (IUPUI) Nonprofit Economics, Giving, Theories of the Nonprofit Sector

Eugene Tempel, Ed.D. (Indiana University, 1985) Professor of Higher Education and Philanthropic Studies (IUPUI) Fundraising, Management of Nonprofits

Mary Tschirhart, Ph.D. (Michigan University, 1993) Associate Professor of Public and Environmental Affairs and Philanthropic Studies (IUB) Nonprofit Management, Associations, Arts Administration

Richard Turner, Ph.D. (Emory University, 1972) Professor of English and Philanthropic Studies (IUPUI), Literature and Philanthropy, Fundraising Language

b. New Faculty Positions. The additional course work will require one new faculty line to support the Ph.D. program. The area of interest will be determined later, however, likely areas where this line might reside include philanthropic studies, religious studies, and nonprofit studies.

6. Learning Resources

a. Existing Resources.

Library, Archival, and Publication Resources

With support of the university and private donors, the Center on Philanthropy and the University Library at IUPUI have created one of the best library and archival collections related to philanthropic studies and nonprofit organizations in the world. The goal of the Philanthropic Studies Collections is to provide an articulated resource of primary and secondary materials to

students and scholars—whether formally involved in the academic world or self-driven as lifelong learners.

Primary sources for research that are housed in the Special Collections and Archives of University Library include the historical records of organizations and individuals that have worked as advocates for the nonprofit sector, fund raising firms that help nonprofit organizations raise money, foundations and individual philanthropists, organizations devoted to the study of philanthropy, and nonprofit organizations that provide social services, particularly in central Indiana. These collections also provide a wide scope of research materials dealing with social, historical, political, educational, and ethnic developments and changes in the United States during the twentieth century. Additional primary sources such as historical documents on microfilm can be found in the Joseph & Matthew Payton Philanthropic Studies Library.

Secondary materials, located primarily in the Joseph & Matthew Payton Philanthropic Studies Library include books, audio and video materials, specialized periodicals in the field, and dissertations. The collection includes works from all disciplines as they relate to these areas of voluntary action. Although materials on nonprofit management, fund raising, and volunteer administration are the most comprehensive areas of the collection, the holdings are not limited to the social sciences. Works across other disciplines, including philosophy, religion, history, literature, and medicine as they relate to voluntary action and giving behavior make the collection a comprehensive resource for study of the field.

The library has set a goal of five million dollars for support of the Philanthropic Studies collection in the current IUPUI comprehensive campaign.

Related archival and research collections also can be found at the Indiana University Bloomington Libraries. Primary research documents related to many topics of interest to potential doctorate candidates exist at the Lilly Library. In addition, state of the art information technology provides access to electronic resources throughout the globe. The Bloomington Libraries are part of ARL and have developed collections over a long period of time that are of the nature and scope that meet advanced research needs. Between the two locations, doctoral candidates will have a rich resource for doing their work in the field. Private endowed funds currently exist to support parts of the collection and we anticipate that more such funds will be created in the years ahead.

The Indiana University Press has the most important book series in philanthropic studies led by Dr. Dwight Burlingame, IUPUI and Dr. David Hammack, Case Western Reserve University. In addition, the Center on Philanthropy and Philanthropic Studies faculty are responsible for the editorships of two journals, as well as an essay and working paper series. Dr. Burlingame has agreed to serve as the editor of the first Encyclopedia of Philanthropy, which will be published by ABC Clio Press. Research for these outlets provide an important opportunity for future doctoral students to contribute to the development of the field.

The Center on Philanthropy: Research Program

The Center on Philanthropy at Indiana University has become one of the leading contributors to the development and dissemination of new knowledge in philanthropic studies, affecting

scholars, practitioners, volunteers, and their organizations. Two principles underlie the Center's research approach. First, it is based on the notion that research informs practice and that practice shapes research. Second, it is interdisciplinary in nature, carried out by faculty representing multiple disciplines. The Center's research program has focused on five core themes: philanthropic traditions, the role of philanthropy in a civil society, the management of philanthropic resources, the measurement and significance of philanthropic resources in society, and governance and management issues of philanthropic institutions.

Over the last several years, the quality of Center-sponsored research has increased through the establishment of a Center Research Committee comprised of faculty and practitioners. Through the Center, two large-scale research projects have been developed--the Administrative and Fund Raising Cost Study (in collaboration with the Urban Institute in Washington, D.C.), and the Longitudinal Philanthropy Module (with the University of Michigan's Panel Study of Income Dynamics [PSID]). The former will contribute to better understanding and reporting of costs and more strategic investments in organizational infrastructure. The latter will contribute to understanding of the public policy, personal, economic, social, and other factors that impact giving and volunteering. The Center's reputation for doing quality action research resulted in a contract with the AAFRC Trust for Philanthropy for the research, writing, and editing of *GIVING USA*, the Nation's most widely recognized and cited source of information on charitable giving. In all, the Center was awarded eight contracts for research and special projects totaling \$826,000 in fiscal year 1999-2000. A similar amount of research funding was received and expended in 2000-2001. Research support of over 2.5 million dollars has been received from multiple sources for the years 2001-2004. Included in these research funds are dissertation support fellowships for which Indiana University doctoral students in Philanthropic Studies will be able to compete.

The Center also has invested in building the capacity of the philanthropic studies faculty to do research. The Center awarded more than \$500,000 in 2000 for research into topics related to philanthropy, including two major projects focused on Indiana philanthropy. The Center is one of the few institutions that supports doctoral dissertation work at other institutions. Research grantees from the early 1990s are now reaching positions as assistant and associate professors and spreading interest in and understanding of philanthropy to other institutions; two have been hired at IU.

Future plans for the Center are to continue funding faculty research related to their priority interests and to invite faculty to collaborate on larger research projects of interest to the field and the Center's key constituents. Included will be support of faculty research to explore the data collected through the Longitudinal Philanthropy Module of the PSID.

These activities as well as those discussed above present a resource-rich environment for establishing a doctoral program in Philanthropic Studies. Clearly, Indiana University has the capacity and resources to contribute to the knowledge base in the field through research, teaching, and service activities.

University Resources

Students being recruited into the Ph.D. program in Philanthropy would be expected to be competitive for University Fellowships, which are awarded to outstanding incoming students. Each program selects up to three nominees for these fellowships and final awards are made after a ranking of the applications by the Fellowship Subcommittee of the Graduate Affairs Committee. The University Fellowships for Ph.D. students currently carry an \$18,500 stipend. In addition, each student has their insurance premium paid for the fellowship year, and may qualify for travel awards up to \$800.

The program in Philanthropy at IUPUI would be expected to become a part of the yearly block grant application for support of graduate programs from the School of Liberal Arts. The funding for block grants is administered through the IUPUI Graduate Office but derives from indirect cost recovery on research projects for the campus. A portion of the indirect cost recovery is returned to each unit, based upon the proportion of indirect costs that unit earned, but a large portion is retained to be distributed based upon competitive proposals for the support of graduate education. These are the block grants. The block grants allow maximum flexibility to programs so that the funds may be used to the best advantage of each unit.

In addition to the large programs described above, there are smaller but nevertheless important additional sources of support available. For example, the Graduate Student Organization uses its portion of activity fee returns to fund special awards often involving student research activities. These awards usually range up to \$400. Students may apply yearly for these awards.

Finally, there are limited funds available through the IUPUI Graduate Office to support recruiting activities for Ph.D. programs. Currently, up to \$1200 annually is available to each program. In addition to financial support, the IUPUI Graduate Office offers the opportunity for each program to supply recruiting materials that will be distributed to Indiana undergraduate campuses, as well as selected out-of-state universities, by the Assistant Dean during his recruiting trips. He also supplies contact information to each program about any student who expresses interest in specific areas of study.

Similar funding sources are available at the Bloomington Campus. For example, National Science Foundation Graduate Fellowships, National Research Council Fellowships, and multiple support programs for students underrepresented in graduate education are available on a competitive basis. The GradGrants Center—Bloomington provides a free service to admitted graduate students that provides information and training to assist graduate students in their search for funding to further their research and graduate education at Indiana University.

b. Need for Additional Learning Resources. No new library materials or other resources will be required to initiate the Ph.D. in Philanthropic Studies. The current inventory of seminar space within the Philanthropic Studies Library is adequate to accommodate the additional classroom needs that will be required for the new Ph.D. students. In addition, research office space is available within the University Library. Fellowship office and support needs for doctoral students will be available within the new building space being sought for the Center on Philanthropy on the IUPUI campus. Current space at the Poynter Center can be utilized by doctoral students based in Bloomington.

7. Other Program Strengths

a. Special Features. The Ph.D. in Philanthropic Studies is a researched-focused degree, preparing students to pursue intellectual inquiry and to conduct independent research for the purpose of extending knowledge in the field of Philanthropic Studies. The degree is an extension of the Masters degree in Philanthropic studies—the difference being the research focus of the Ph.D. The Masters degree has been in place since 1993 and the Ph.D. minor since 1995. The masters program has grown to include over 30 new students each year. On average, five students are taking, at any one time, the Ph.D. minor in Philanthropic Studies. The proposed Ph.D. in Philanthropic Studies builds on the existing foundation of these programs. Like the M.A., the Ph.D. will lead the way in being one of the very few degrees in the country that grounds the study of philanthropy in the liberal arts. The proposed program will allow students with interest in Philanthropic Studies to take full advantage of the unique educational opportunities and resources available at the two core campuses.

The philanthropic studies faculty consists of approximately 50 members of the Indiana University faculty who have demonstrated a commitment to the academic affairs of Philanthropic Studies. To obtain full faculty status, a faculty member must present evidence of substantial contribution in the field of philanthropic studies, in two of the three areas of teaching, research, or service. The faculty is composed of individuals from 21 different disciplines, primarily at IUPUI and IU Bloomington, and have developed more than 103 academic courses.

In terms of research, Indiana University has attracted many of the leading scholars in the field of Philanthropic Studies—they have established reputations as national or international scholars across a variety of disciplines. According to the 1999 Philanthropic Studies Faculty report, philanthropic studies faculty published 30 refereed articles in 24 journals, wrote 39 book chapters, published 10 books, received 18 external grants, and presented at 84 conferences, as well as participated in numerous public and professional service activities. A similar record was report for 2000. Additionally, these faculty taught 36 courses (60 sections) as part of Philanthropic Studies, as well as participated in student advising, mentoring, and internship supervision. External grants obtained by faculty totaled \$2.1 million in research funding from organizations such as the Health Foundation of Greater Indianapolis, The Ford Foundation, the Spencer Foundation, and the Nonprofit Sector Research Fund of the Aspen Institute. Several of the Philanthropic Studies faculty members were able to use Center on Philanthropy research grants to leverage external funding.

Because of the extensive experience and expertise of the philanthropic studies faculty, Indiana University is well-positioned to undertake the proposed Ph.D. program—particularly in the areas of teaching and research.

b. Anticipated Collaborative Arrangements. The collaborative arrangements consist of internal cooperation within Indiana University-- between IUB and IUPUI. No formal relationships with other parties are planned.

C. PROGRAM RATIONALE

Cultures throughout history have relied on acts of benevolence or charity benefiting individuals and society overall. Societies have been shaped by the interactions of philanthropic activity, the government, and the marketplace. Governments have looked to nonprofit organizations to deal with problems that concern their citizens. Businesses have sought ways to combine making profits with making the world a better place. And ordinary people have found in voluntary associations – including religious ones – valuable places of human contact and understanding in an ever larger and fast-moving world.

If the 20th century was marked by the expansion of business and government, the 21st is likely to see an enormous rise in philanthropy. Indeed, it is already underway. The American nonprofit sector has become much bigger and more complex within the past 25 years. Other countries are moving rapidly along the same path. With economic wealth apt to grow substantially, both in amount and in scope, the potential for continued growth and increased complexity is greater still. Nor, with continued poverty and immigration, the aging of the population, heightened desire for a cleaner environment and greater access to the arts, to name but a few examples, is the demand for what the nonprofit sector and philanthropy tries to address apt to diminish.

Yet, as philanthropy has prospered, our understanding of it – its history and role in society, its possibilities and limitations, the dilemmas it creates and the solutions it makes possible, to name but a few areas – has had a difficult time keeping pace. Until recently, in fact, those who thought seriously about philanthropy, who conducted research about it, and who taught those engaged in it what they had found out, were relatively few in number. Most people in the nonprofit world practiced; few “preached,” or at least did so on the basis of serious study. In the 21st century, as ever more people throughout the world turn to the practice of philanthropy, the need for people who can step back and look clearly at what it is – and what it could be – will become ever more urgent. Just as both government and business benefited from a growing awareness of how each worked, so too does philanthropy and the nonprofit sector require heightened self-consciousness if its promise for the 21st century is to be attained. Besides wealth, what is needed for philanthropy is what one might call an intellectual infrastructure that enables it to achieve the kind of high-quality knowledge and conceptual coherence upon which scholars and practitioners can build.

Steps toward building this infrastructure are already underway. While principles derived from the study of public administration and business management continue to illuminate and enhance the practice of philanthropy, considerable contributions have also begun to come from the growing knowledge base emerging in the field of philanthropic studies. On topics ranging from Western traditions of social movements and voluntary associations to cross-cultural issues involving civil society and social capital, work in philanthropic studies has proven fruitful for practitioners, as well as scholars. It also has helped to firmly ground the study of nonprofit institutions in the theoretical and conceptual concerns of the humanities and social sciences.

In addition, during the last decade, marked growth has taken place in the study of philanthropy and nonprofit management education. As of the beginning of this year, over 200 universities and colleges in the United States offered courses in these areas, including over 80 that had master’s

degree programs. They have developed to prepare practitioners who are well-versed in the values, history, and problems of philanthropy and the nonprofit sector. Yet, there are no traditional Ph.D. programs in philanthropic studies, which means that the building blocks of knowledge for the existing programs are in shorter supply than they ought to be, or become available with less deliberate forethought than they should.

1. Institutional Factors

a. Compatibility with the Institution's Mission. The mission of the Center on Philanthropy at Indiana University “seeks to increase the understanding of philanthropy and improve its practice through research, teaching, and public service.” The Center has become the largest, most comprehensive and arguably the highest quality program in the country—due, in part, to the breadth and depth of its programs and its cross-disciplinary, multi-campus faculty. Its resources and leadership in the field are unmatched. The proposed Ph.D. fits well with the mission of both the Center and is compatible with the ideology of Indiana University. Voluntary association, voluntary giving, and voluntary action directed toward public good are not only important to the nonprofit sector but are important dimensions of American society and of other societies around the world.

The future of philanthropy and the nonprofit sector needs a place that can attend to their continued intellectual development through the highest quality research and teaching. Indiana University already has an established track record of offering top-rated graduate programs (e.g., MA in Philanthropic Studies, MA in Arts Administration, MPA in Nonprofit Management, Minor in Philanthropic Studies for several PhD Programs, Ph.D. in History with a program concentration in Philanthropy, as well as several dual degree and certificate programs). Because it pioneered a liberal arts based, multidisciplinary approach to the study of philanthropy and the nonprofit sector, Indiana University is uniquely positioned to develop the first Ph.D. program in Philanthropic Studies. It possesses the programmatic strength, the intellectual resources, and the institutional capacity to be the first university to award a Ph.D. specifically in Philanthropic Studies. Just as importantly, its unique approach already goes beyond the mechanics of philanthropy to examine the basic questions about its nature and purposes, precisely the kinds of questions that are at the heart of doctoral study in any field – and which are essential to the continued vitality of practice as well.

b. Planning Process. In July 2000, Chancellor Bepko created a committee to study the feasibility of establishing a Ph.D. program in Philanthropic Studies. The committee consisted of thirteen representatives from the faculty of professional schools, liberal arts, the Center on Philanthropy, administration, and practitioners in the field. The committee was asked to propose how a Ph.D. in Philanthropic Studies would be structured, how it would connect to existing and recognized disciplines, and how it might be delivered. The committee also was asked to examine the external demand of such a program, comparable or competing degrees, special opportunities, and internal assets and resources required to develop and support a doctorate program in this field. The committee met throughout the year and a final report was submitted to Dean Plater in May 2001.

In September 2001, Chancellors Bepko and Brehm, with consultation from Dean Plater, appointed a bi-campus committee, composed mostly of the original committee members, to develop the feasibility report into a full proposal. Additionally, the feasibility report was disseminated to concerned parties, including deans of schools that have an interest in philanthropic studies, for feedback and comments. The chair of the committee has received many letters of support for the proposed Ph.D. from faculty and administrators across the University. For information purposes, a copy of the MA in Philanthropic Studies program review completed in 2001 is attached as APPENDIX D.

c. Impact on Other Academic Programs. The proposed Ph.D. is an outgrowth of the currently existing Masters Degree in Philanthropic Studies. Because this is an interdisciplinary program, Ph.D. students in Philanthropic Studies will enroll in courses currently offered at Indiana University and thereby increase effective use of resources. The program will provide students as a resource for faculty in their research efforts. It also will provide an opportunity for three philanthropic studies faculty members to further explore the field through the development of the new seminar courses proposed in this proposal. We think that this degree program has the potential to bring new resources to the University rather than drain current resources.

d. Utilization of Existing Resources. The Ph.D. should enhance existing Indiana University Ph.D. degrees through the minor requirement. Students matriculating into the Ph.D. will choose a minor area of study from a variety of academic disciplines (see Appendix A). This will serve to increase the enrollments of the existing Ph.D. minors currently offered at Indiana University. Similarly, students will enroll in existing research methods courses offered at Indiana University.

2. Student Demand. (See Table 1)

a. Enrollment Projections. For estimate purposes, we project two new FTE's in Bloomington and three FTE's in Indianapolis each year. The initial enrollment in the Ph.D. in Philanthropic Studies is likely to be small, perhaps four to six students. To maintain academic rigor and in light of available resources we would expect the program to normally include around 12 to 15 students.

b. Enrollment and Completion Data. We estimate that these students will be wholly new to their respective campuses.

3. Transferability

Students will be allowed to transfer up to 30 credit hours of Masters degree work earned through an MA degree in Philanthropic Studies or a related field.

4. Access to Graduate and Professional Programs

Not Applicable

5. Demand and Employment Factors

According to data from the U.S. Bureau of Labor Statistics, professional employment in nonprofit organizations is expected to grow by 49.6 percent by 2006. This would make nonprofit careers among the fastest growing of any in the economy. With the aging of the nation's population, a large group of young people headed for various forms of higher or continuing education, and increased interest in cultural amenities like the arts and museums, demand for nonprofit professionals is not likely to slacken afterwards.

This robust employment outlook is one reason so many of today's students seek to prepare themselves for jobs in the nonprofit sector through both extra-curricular activities (such as campus service programs) and increasingly, formal courses of study in nonprofit management or related fields. Indeed, the rapid growth of such specialized programs is perhaps the strongest sign of the potential need for people with doctoral training in philanthropy.

According to Roseanne Mirabella and Naomi Wish of Seton Hall University, 242 colleges and universities now have courses on nonprofit management. Ninety- seven offer a graduate degree in the subject, while fifty more have at least one course on it in a graduate department. In addition, 83 schools give one or more courses for undergraduate credit and still others incorporate instruction on nonprofits and philanthropy in their continuing education programs. About one-third of these colleges and universities are in the Midwest.

We expect that these programs – which are expected to continue to grow in numbers over the next decade -- will produce much of the demand for graduates with a Ph.D. in Philanthropic Studies. We expect these graduates to have obtained considerable proficiency in related doctoral programs at Indiana University that study history, education, and public policy and nonprofit management within their particular departments or schools. This will be accomplished primarily through the minor option, which will allow students to focus on one of the above areas. Many of the courses taken by the students entering the program will be met by current graduate offerings. Students who earn the Ph.D. in Philanthropic Studies should be prepared to offer courses and conduct research on traditional subject-areas, as well as on the nonprofit sector.

Another source of demand for graduates of the Ph.D. program is likely to come from nonprofit organizations themselves. During the past five years, inquiries have indicated substantial interest among practitioners who want to improve their reflective and research skills. Often their master's degree work has prepared them to operate technical aspects of their nonprofit organizations, but has not provided them with the research, knowledge, and conceptual development they see as increasingly essential as their careers develop and institutional responsibilities grow.

In recent years, for example, some higher education advancement officers have found doctoral study valuable for working at colleges and universities and consider it a necessity if they want to have an opportunity to move into more senior leadership roles. Grantmaking foundations have also shown a tendency to hire (or promote) program officers with advanced degrees, particularly in fields, such as health or the environment, in which they are concentrating. By building on their past education and experience, as well as offering the opportunity to minor in a related

doctoral program, the Ph.D. in Philanthropic Studies should provide an attractive option for such practitioners.

As for students, we expect demand for the proposed Ph.D. will come primarily from current and former students who are working in the nonprofit field, as well as other practitioners who desire advanced education relating to philanthropy.

Each year, the M.A. in Philanthropic Studies program has had at least one, and usually more than one graduating student who desires to pursue doctoral studies. They have typically enrolled in a Ph.D. program in a traditional discipline at a university with faculty members interested in some aspect of the nonprofit sector. Last year, for example, one student went to the political science department of Boston College, where he is working with faculty whose research focuses on civil society. Another took a job with a local nonprofit, hoping that a Ph.D. would eventually be developed here. A Philanthropic Studies doctoral program will not only enable Indiana University to retain these students, but also encourage others to consider doctoral work more seriously than they now do. Graduate degree seekers in programs supported by graduate philanthropic studies totaled more than 290 in the fall of 2001.

Many graduate students from the M.A. in Philanthropic Studies are already engaged in various forms of work in the nonprofit sector, from development and program management to grant-making at foundations. Several have expressed interest in the prospect of a Ph.D. program, as have graduates of the Executive Education M.A. in Philanthropic Studies, who are generally mid-career professionals.

An informal survey of a sample of directors of other programs that offer master's degrees in nonprofit management or related topics revealed an expectation that Indiana University is in the best position to help build and contribute to the research base of philanthropy by offering a Ph.D. This suggests that the graduates of these programs who are interested in doctoral work will be a likely source of applicants for a new Ph.D. in Philanthropic Studies as well.

Entry into the Ph.D. program can come from the traditional MA degree programs as well as from M.P.A. degrees with a nonprofit management concentration and other appropriate professional degrees. For example, a student may have majored in social work with an emphasis on nonprofit service delivery, a history major may have focused in on the history of philanthropy, or a business major may have focused on social entrepreneurship. All may view a Ph.D. in Philanthropic Studies as the kind of interdisciplinary research degree that serves their needs, as, we have reason to believe, will members of the clergy desirous of moving from congregational duties toward leadership of religious institutions, such as schools and social service agencies.

Test of Demand

To test the demand for the Ph.D. in Philanthropic Studies, the Center utilized three, separate techniques to survey the potential market.

First, a consulting firm, Maxwell Associates Inc., was retained to conduct a series of interviews and focus groups with alumni, potential employers in the sector, and current nonprofit leaders. From this study, the following conclusions were reached.

1. Those who are currently enrolled in the graduate programs are the best potential candidates for a doctoral degree program. These students understand the intrinsic value of continuing an academic education and some indicated they plan to pursue a doctoral degree at some point.
2. Even those who indicated that a Ph.D. is something they would not pursue for themselves acknowledged that "there is a need for Ph.D.'s in this field." By facilitating academic research, the Ph.D. degree, they believed, would help increase knowledge and improve practice in the field. Further, respondents believed that such a Ph.D. program would help "legitimize" the work of practitioners in the field.

A second survey was conducted, using a sample of current Association of Fundraising Professionals members, who currently hold a Ph.D. Respondents were asked if they would have considered a Ph.D. in Philanthropic Studies had one been available when they sought their doctorate. They were also asked what reasons would have been important in their decision to pursue a doctorate.

Of the sample of 106 members, 40 percent responded. Twenty-five percent indicated that they would have been interested in taking a Ph.D. in Philanthropic Studies, had it been available when they pursued their Ph.D. Of those that indicated that they would not have taken the Ph.D., the following reasons were given:

1. They did not plan to work in the nonprofit sector at the time. Therefore, it was not a relevant degree.
2. Several felt that they needed to have a degree that had more potential for marketability. In other words, they identified the Ph.D. in Philanthropic Studies as too limiting for the kind of work that they were pursuing at the time.
3. Two respondents felt that Philanthropic Studies was not viewed as a valid area of study at the time.

Third, the COP staff kept a list of all individuals who called the Center to inquire about a Ph.D. in Philanthropic Studies. These individuals had heard that Indiana University was investigating the possibility of developing a Ph.D. in Philanthropic Studies and contacted the Center directly to inquire about the status of this degree. Center staff surveyed each individual to obtain information about their (1) current position, (2) nature of degree desired, and (3) desired format of degree. (See Appendix B for results of this survey).

6. Regional, State, and National Factors

a. Comparable Programs. There are currently no Ph.D. Programs in Philanthropic Studies in the State of Indiana. IUPUI has the only Master's degree program in Philanthropic Studies in the state. Concentrations in Nonprofit Management in M.P.A. degrees are available both at IU Bloomington and IUPUI. One external Ph.D. program in philanthropy is offered at Union Institute in Cincinnati, Ohio. This degree is intended for practitioners in the field. Case Western Reserve University offers an Executive Doctor of Management through the School of Management where students can concentrate on nonprofit management. Other doctoral programs that provide a nonprofit management concentration are available at a few institutions.

Attached in Appendix C is a list, current as of February 2001, of colleges and universities at which students enrolled in doctoral programs can take courses in nonprofit management or philanthropy. Indiana University programs are offered through the history of philanthropy concentration in the Department of History, IUB, and the nonprofit management concentration in public management and policy in the School of Public and Environmental Affairs at IUB.

Students enrolled at IUPUI and Bloomington doctoral programs can minor in Philanthropic Studies. Since this option became available in 1995, fifteen students have submitted requests to minor; however, the university has not kept a record of the number who actually did so. Four students are currently pursuing a minor. Additionally, SPEA has recently approved a Ph.D. minor in Nonprofit Management.

b. External Agencies. Graduate programs in Philanthropic Studies are not licensed or accredited. Graduate program quality is assured by the quality of the Philanthropic Studies faculty at Indiana University and the admission and graduate standards of the Graduate School.

D. PROGRAM IMPLEMENTATION AND EVALUATION

Because we have an extensive faculty with expertise in Philanthropic Studies who have been teaching in the MA degree, we expect that the program can be implemented rather quickly, admitting students by the Fall 2003. The 2002-03 academic year will be spent obtaining funding, planning, hiring, and marketing. Funding for support will be sought from major foundations and funders with an interest in the field. This includes the Kellogg Foundation, Hearst Foundation, Packard Foundations, Atlantic Philanthropies, Lilly Endowment, Inc. and the like. Opportunities for support of the program are also part of the Center's capital campaign objectives. In terms of student recruitment, there appears to be a pent-up demand for students who are interested in pursuing the Ph.D. (see Appendix B). Additionally, we would expect several students who have graduated from the Masters program to matriculate into the Ph.D.

Both an internal and external review of the MA program recently were conducted in the Fall of 2001, the results of which were very positive. Program evaluation of the Ph.D. will be accomplished through similar reviews. We would expect both internal and external reviews within five years of program implementation.

There are several generic indicators of quality in a research-focused doctoral program that we would expect from the Ph.D. in Philanthropic Studies. Outcome indicators for the program of study include³:

1. Student advancement to candidacy through satisfactory evaluation by faculty (e.g., comprehensive exam) of the student's basic knowledge of the core courses;
2. Dissertations that represent original contributions to the scholarship of the field;
3. Systematic evaluation of graduate outcomes through completion of course requirements;
4. Productive scholarship, collaborating with researchers in the area of Philanthropic Studies and other disciplines in scientific endeavors that result in the presentation and publication of scholarly work that continues after graduation.
5. Within two to three years of post-completion, graduates have utilized the research process to address issues important to the field of philanthropic studies within their employment setting;
6. Employers report satisfaction with graduates' leadership and scholarship;
7. Graduates' scholarship and leadership are recognized through awards, honors, or external funding and 3-5 years post-completion.

³ These outcome indicators are adapted from the American Association of Colleges of Nursing, *Indicators of Quality in Research-Focused Doctoral Programs in Nursing*, November 2001.

APPENDIX A

Possible Electives or Courses for Minor: Ph.D. In Philanthropic Studies

Anthropology

A509 Cross-Cultural Dimensions of Philanthropy (IUPUI)

Business

A508 Not-for-profit Accounting (IUPUI)

A516 Federal Estate and Gift Taxation (IUPUI)

A554 Income Taxation of Trusts and Estates (IUPUI)

A558 Taxation of Tax Exempt Organizations (IUPUI)

Economics

E514 Nonprofit Economy and Public Policy (IUPUI)

Education

H637/C750 Seminar on the History of Education and Philanthropy (BL)

H637 Seminar on Women and Philanthropy (BL)

C680 (H637) History of Higher Education and Philanthropy (BL)

C585 Principles of Fundraising Management (BL and IUPUI)

History

History 750 History of American Philanthropy (Seminar) (BL)

H509 History of Philanthropy in the West (IUPUI)

H511 History of Philanthropy in the US (IUPUI)

Journalism

J528 Public Relations Management (IUPUI)

J529 Public Relations Campaigns (IUPUI)

Law

P535/R739 The Law of Nonprofit Organizations

Library and Information Sciences

L524 Information Sources and Services (IUPUI)

L527 Management of Library Institutions (IUPUI)

L570 Online Information Retrieval (IUPUI)

L571 Fiscal Management in Libraries (IUPUI)

Nursing

NURS G558 Women, Health and Society (IUPUI)

Philanthropic Studies

P501-502 The Philanthropic Tradition, I-II (IUPUI)

P512 Human and Financial Resources for Philanthropy (IUPUI)

P521 The Nonprofit and Voluntary Sector (IUPUI)
P523 Civil Society and Philanthropy (IUPUI)
P542 Ethics and Values of Philanthropy (IUPUI)

Public Health

P502 Issues in Public Health (IUPUI)
P515 Sociology of Health and Illness (IUPUI)

Religious Studies

R672/R770 Friendship, Love, and Giving to Strangers (BL)
R590 Religion and Philanthropy (IUPUI)

SPEA

V521 Nonprofit and Voluntary Sector (BL and IUPUI)
V522 Human Resource Management in Nonprofit Organizations (BL and IUPUI)
V523 Civil Society and Public Policy (IUPUI)
V524 Civil Society in Comparative Perspective (IUPUI)
V525 Management in the Nonprofit Sector (BL and IUPUI)
V558 Fund Development for Nonprofit Organizations (BL and IUPUI)
V550 Topics: Proposal Writing and Grant Administration (BL and IUPUI)
V602 Strategic Planning of Public and Nonprofit Organizations (BL and IUPUI)
V562 Public Program Evaluation (BL and IUPUI)
H501 U.S. Health Care: Systems, Policies, and Ethical Challenges (IUPUI)
H516 Health Services Delivery and the Law (IUPUI)

Social Work

S500 Social Welfare and Social Work (IUPUI)
S520 Evaluation Processes in Social Work (IUPUI)
S530 Social Policy and Services (IUPUI)
S631 Social Policies and Services II (IUPUI)
S646 Community Organization and Social Action (IUPUI)
S647 Program Planning and Development (IUPUI)
S730 Proseminar on Social Work Policy Analysis (IUPUI)

Sociology

S530 Introduction to Social Psychology (IUPUI)
R551 Sociological Research Methods (Bloomington)
R559 Intermediate Sociological Statistics (Bloomington)
R530 Families and Social Policy (Bloomington)
S613 Complex Organizations (IUPUI)
S632 Socialization (IUPUI)
S610 Urban Sociology (IUPUI)
S612 Political Sociology (IUPUI)
S616 Sociology of Family Systems (IUPUI)

Women Studies

W601 Survey of Contemporary Research in Women's Studies: The social and behavioral sciences (IUPUI)

W602 Survey of Contemporary Research in Women's Studies: The humanities (IUPUI)

APPENDIX B

Inquiries for Ph.D. In Philanthropic Studies

Name	Current Position	Content of degree Research or Applied	Attend Part-time/ Full-time/ Executive Format
Jo-Ann Alessandrini	Asst. Vice Pres., Office of Development, University of S. Florida		
Eric Barritt	Associate Director of Development, The University of Michigan Kinesiology	Applied	PT
Debra Bowen	Part-time marketing instructor, University of Washington and Jr. Achievement, Kennewick, WA		
M. Elaine Cadell	Executive Director, Alumni & Development University of Saskatchewan	Applied	Executive Format
Lynn Caldwell	Collegedale, TN		
Randall Collins	Intern agent and Social Worker (working on masters degree)	Applied	FT

Kevin Delabre	Director of Development, Catholic Dioceses in Illinois	Applied (wants to do consulting)	Executive Format
Lee Gordon	Tenured faculty member, Orange Coast College in Costa Mesa, CA	Research (International business)	PT
Julie Hatcher	Associate Director of Service and Learning, Center for Service & Learning, IUPUI	Research	PT
Shauna Klein	Principal Gifts Officer, University of Manitoba, Winnipeg, MB Canada	Research or Applied	PT
Billie Luisi-Potts	Director of Development, Regents College		
Carol Paine-McGovern	Grand Rapids, MI		

Heather Patchett	Director of Capital Gifts, University of the South	Research	Executive Format, PT
Brian Porter	Director, Corporations and Foundations, Office of Development University of Waterloo, CANADA	Research or Applied	PT
Steven Ross	Associate Professor, Graduate School of Journalism Columbia University		
Scott Stamper	Strategic Analyst in a healthcare system. Previously worked in municipal bond rating and housing policy analysis.	Research (non-profit organizations as change agents in urban communities)	FT
Cynthia Spalding Knapek	Director of Education, Kentucky Organ Donors Affiliate	Applied	Executive Format
Joseph Simon	Assistant Vice President, Southeastern Louisiana University	Applied	Executive Format, PT

Jeff Ulmer	Dean, University of Florida, Gainesville, FL		
Michel Wakeland	Director, Center for Service Learning Millikin University, Decatur, IL		

APPENDIX C

Ph.D. Programs

Please Note: *Most of these programs do not offer a Ph.D. specifically in philanthropy or nonprofit management. Rather, the nonprofit and philanthropy courses offered at the master's level are available for study at the doctoral level.*

Auburn University at Montgomery	Ph.D., Political Science and Public Administration
Boston University School of Management	DBA
Brandeis University	Ph.D. in Social Policy
Case Western Reserve University	Executive Doctor of Management (EDM)
Cleveland State University	Ph.D. in Urban Studies
Florida State University	Ph.D. in Social Work
Harvard University	Ph.D. in Public Policy / Public Administration
Indiana University – Bloomington	Ph.D. in Public Policy and Ph.D. in Public Affairs (SPEA)
Indiana University of Pennsylvania	PH.D. in Administration and Leadership Studies
Indiana University, Center on Philanthropy	Ph.D. Minor in Philanthropic Studies
New York University – Wagner Graduate School	Doctor of Philosophy, Program on Public and Nonprofit Management and Policy
Northern Illinois University	Doctor of Philosophy in Political Science
Rutgers University – Newark	Ph.D. Public Administration
St. Louis University	Ph.D., School of Social Service
Syracuse University	Ph.D. in Social Science/Public Administration
Union Institute, The	Ph.D., Management of Nonprofit Organizations (individualized)
University of Colorado at Denver	Ph.D. in Public Administration
University of Nebraska	Ph.D. in Public Administration
University of North Carolina at Chapel Hill	JD
University of Pittsburgh	Ph.D., Graduate School of Public and International Affairs
University of Southern California	Ph.D./DPA, School of Policy, Planning and Development
University of Texas at Austin	Ph.D. in Public Policy
University of Texas at Austin – Thompson Conference Center	Doctor of Philosophy in Public Affairs
University of Wisconsin – Milwaukee	Ph.D. in Political Science
Yeshiva University	DSW

Source: Graduate Department of Public and Healthcare Administration, Seton Hall University; own survey.

APPENDIX D-MA IN PHILANTHROPIC STUDIES PROGRAM REVIEW

Final Report of the Philanthropic Studies Review Team: IUPUI

Philip Goff, IUPUI
Harriet Ivey, Nina Mason Pulliam Charitable Trust
Rose Mays, IUPUI
David Papke, IUPUI
Burton A. Weisbrod, Northwestern University
Dennis R. Young, Case Western Reserve University, Chair

October 29, 2001

Introduction

The review team was charged primarily with evaluating the M.A. in Philanthropic Studies, both in its traditional and executive formats. We were also asked to consider related issues including the prospective establishment of a School of Philanthropic Studies, the development of a Ph.D program in Philanthropic Studies, and the place of philanthropic studies within IUPUI, the field of higher education, the nonprofit sector and the community at large.

While our two day visit, and our study of program materials, was intensive, we could not presume to have sufficient information or expertise to provide definitive recommendations on all of these topics. However, we do offer our understandings of these issues in a manner that we hope will allow university officials to make more informed judgements and decisions.

Overall, we found the M.A. program to be a successful program and we especially applaud its unique grounding in the liberal arts. In this report we have identified a number of operational and curricular aspects of the program that we believe can be fine-tuned to make this program even more successful. The M.A. program is one of a number of important activities administered by the Center on Philanthropy. Overall, we found the Center to be an impressive beehive of activity, generally supported with enthusiasm by faculty, students and administrators. (We cannot be certain, of course, about the process by which the particular faculty and students with whom we met were selected or self-selected.)

We spent considerable time discussing the proposed Ph.D. program in philanthropic studies and the proposed School of Philanthropy. We found these initiatives to involve many complex issues. In this report we offer our views on both the risks and benefits of these proposals, and we encourage university officials to contemplate these factors as they develop plans for the future.

Finally, we recognize that the programs of the Center on Philanthropy are multifaceted and interdependent, and we urge that university officials and especially the center's leadership to continue to put strong efforts into conceptualizing and articulating the driving vision behind the center's programs and their relationships to one another.

Master of Arts Degree in Philanthropic Studies

The review team found the M.A. degree program to be basically sound in its conceptualization and implementation with only a few areas requiring attention. Its health is not only a testament to the skill and dedication of a cadre of competent, enthusiastic faculty and supportive administrators but also to its success in addressing the field's need for reflective practitioners. The executive M.A. format was reviewed to a lesser degree by the team (primarily because we had fewer data); however, generally speaking, both models were determined to be of high quality.

The distinguishing characteristic of this degree, its interdisciplinary liberal arts foundation, was highly embraced by stakeholders and frequently cited as a defining strength and unusual, if not unique, among M.A. programs focusing on the nonprofit or public sectors. Repeatedly we heard about the unique value of this course of study being largely the result of its focus on preparing graduates to be more cognizant of contextual issues, to think broadly, and to engage in critical discourse on a wide variety of issues. This degree is a good complement or fit with related, practice-oriented fields. As a result, offering options for dual degrees with other schools (most notably the School of Public and Environmental Affairs's masters in non-profit management) has been highly successful.

Another strength of the program is its relationship with the Center on Philanthropy. While the Center's financial support is in itself a distinct benefit, more importantly it offers students countless opportunities for real-life experiences through exposure to cutting-edge practice and leaders in the discipline. Such experiences permit students to apply their theoretical knowledge related to the field.

Although this unique program has been quite effective, we found that its newness and unconventional nature have presented some lingering challenges that warrant attention. These will be presented as issues and recommendations.

Issues and Recommendations

1. Clarity of program goals. There is a need for further refinement of the program's goals especially for the consumers or students of the program. While the students with whom we conversed enthusiastically lauded the program's content and pedagogical approaches, they voiced many uncertainties about its vocational purpose. One student spoke in terms of the degree not being "bold enough" and the field not being sufficiently established. While many of the informants assured us that the program was definitely not a management program, few clear declarative statements were heard that led us to believe there was a strong consensus about its purpose. For example, is the program's primary purpose to prepare individuals to enter the field, and if so, where? Is it to provide leadership enhancement for those already in the field? Is it to provide a foundation upon which to build a research career? The program's purpose or goals need to be clarified and concisely communicated.
2. Admission Requirements. Students are admitted to the program as standard and special admissions. The team was concerned about the proportion of special admissions students

affecting the rigor of the degree. This phenomenon may be a further reflection of ambiguity of program goals; therefore we recommend that admission policies be reviewed in light of program goals.

3. Advising. There is a need to strengthen the advice and guidance occurring in the program. Students could benefit from sustained relationships with mentors who could help them be more intentional about their program of study and career goals. It is recommended that shoring up program advisement be closely related to clarifying the program goals.
4. Capstone Experience. The interdisciplinary nature of this curriculum necessitates some type of culminating integrating experience, yet, at present this is optional. Such an experience provides students with a mechanism to assimilate their various experiences and show command of a body of knowledge. Examples of such experiences are theses, exams, and capstone seminars. The review team recommends such a experience be required as a developmental milestone for entry into the field.
5. Career Development. The team found that increased efforts were needed to support students with their career development plans. Suggested approaches include seminars on careers, job finding skills, planned occasions to connect with potential employers.

The review team recognizes that a person has recently been hired to oversee recruitment and placement so that some of the issues we raised may be quickly resolved. However, attention to further specifying program goals should provide the direction for these activities.

The M.A. and the Community

The M.A. in Philanthropic Studies, along with the other programs and services offered by the Center on Philanthropy, is nationally known and highly respected by individuals representing all aspects of the field. This includes nonprofit organization professionals, voluntary sector leaders, academics and national opinion shapers. In effect, these individuals and the organizations they serve comprise the community of stakeholders for the Center and its students.

The opportunity to have a two-semester, six-credit paid internship has been a requisite component of the M.A. in Philanthropic Studies degree since its establishment in 1992. As such, the internship program serves to link the M.A. program to the community.

The primary focus of the internship placements directly reflects the Center on Philanthropy's flagship reputation in the study and understanding of the philanthropic impulse, resource development and voluntary involvement within the nonprofit sector. Therefore, the majority of internships have offered students valuable real life work experiences in professional charitable fundraising activities (donor research, annual fund and capital drives, corporate and foundation grant writing, volunteer coordination, etc.) more than in any other aspect of nonprofit organizational activities.

While the M.A. program attracts students from all over the country, because of its location at IUPUI, it appears from the reports we were given that Indianapolis and Central

Indiana-based nonprofit organizations have received the most benefit from the services of the Philanthropic Studies interns.

This same report material also leads one to conclude that internship opportunities with private foundations, and to some extent with corporate funders, have been far less common. This is understandable in that there are far fewer grantmaking entities in the 501(c)(3) world of organizations. However, it perhaps also has to do with the fact that the Center's reputation for excellence and knowledge leadership has been on the "demand" side of the nonprofit resource equation, especially because of the presence of The Fundraising School.

We also heard a desire from some of the students we interviewed to have more opportunities for placements further afield, both geographically and programmatically. (On the other hand, we also heard from one of the primary staff members of the Center that some internship opportunities have repeatedly gone unfilled, due to location. Specifically, we were given the example of one in Mexico.)

Because the Center on Philanthropy is still the national flagship of such academic programs, we encourage the Center's leadership and internship placement staff to maximize to the fullest its "community capital" within its extensive network of nonprofit organization contacts to enhance internship opportunities. The outreach strategies that likely would be the most successful with private foundations are targeted communications, and perhaps face-to-face meetings with the leadership of key foundations to kindle their interest, and therefore create the "way in the door."

Thus far, the internship program also has proven to be an effective link to permanent entry-level job opportunities. We believe that this could also be the case with foundation placements. Those former students now working in foundation positions are also excellent contacts for those students seeking to build their networks. Perhaps, the creation of an alumni organization should be explored.

The Center on Philanthropy's nonacademic activities and offerings regularly bring practicing professionals to the IUPUI campus for seminars, lectures, and professional development courses, and advisory meetings. Every effort should be made to open doors and make connections for the current M.A. (and M.P.A.) student body, including with the Center's advisory board. In making this suggestion, we are not saying that this type of contact work has not existed, but rather perhaps it needs to be strengthened.

During our two-day round of interviews, conversations and walking-around, we observed that the Center is a "bee hive" of interesting activities, many of which involve external stakeholders much more than the typical academic center. We therefore encourage the Center's leadership to think even more strategically in order to maximize the opportunities these numerous external contacts hold for its students.

We also encourage the Center to consider adding a course or a seminar regarding philanthropic careers, including how one should go about career building. It should include regular presentations and panel discussions by professionals in various aspects of the field. Again, since the Center has such a strong, positive external reputation and so many outside

contacts through its various programs, study contracts, and advisory board, it should not be difficult to organize these additional activities as part of regular visits to the campus. As assignments, students could be encouraged to look further into the careers of those they admire. Those professionals invited to speak with the students should also be considered as prospects for internship placements.

Organized intentional meetings rather than spontaneous encounters should be the guiding strategy. The leadership of the Center and those within it responsible for internship placement, student recruitment and counseling should be involved in creating and executing the strategy. Students also should also be active participants, not just beneficiaries of the work of the Center's staff.

Proposed Doctoral Program in Philanthropic Studies

The review team found the proposal for a Ph.D. in Philanthropic Studies an exciting prospect that faces several key issues which should be addressed before moving forward. We realize that, at present, the proposed program is but a skeleton of how it would eventually appear and operate. Still, in discussing its content and its possible place in the field, we found several significant potential benefits and risks.

Benefits

1. The fact that the program would be the first in the nation to recognize this important and growing field would bring luster to the IUPUI intellectual community. While other doctoral programs in non-profit studies are being created around the country, this would be the first to specialize in the area of philanthropy, itself.
2. The liberal arts foundation that currently distinguishes the M.A. program would also differentiate a Ph.D. in Philanthropic Studies. Developing a research agenda that deals with questions of "why" rather than simply "how" on the doctoral level could push this developing field to new heights of understand an important phenomenon.
3. The multi-site, interdisciplinary plan offers greater potential than a single campus-based program. Drawing upon faculty resources in both Indianapolis and Bloomington would offer greater breadth and depth to courses, as well as allow for a more varied student body.

Risks

(NOTE: These risks were considered as the proposed doctoral program feasibility study in Philanthropic Studies was revised and changed by the Implementation Committee in the Fall of 2001. It is important to note that these comments are based upon the earlier draft and not the proposal as it now stands.)

1. The foremost question that must be answered in order to move ahead on this proposal is whether there will be a signature research area that can sustain a doctoral program for years to come. Presently, this is a new and forming field. While on the one hand that means areas for research are wide open and methodologies are yet to be developed, it means, on the other hand, that a doctoral program is being created with ambiguous boundaries and no clear methodology for studying the field. The benefits of being the first to enter a field cannot be celebrated without recognizing the dangers inherent in that venture, especially in regard to creating a sustainable program.
2. The program needs to identify a market, or a niche, for its graduates. Only by doing so, in fact, can students be attracted to the program. We found that there is no evidence for believing the M.A. program would be a feeder for the Ph.D. program. And, presently, there is no identifiable market for the graduates of such a program outside very specialized positions. In other words, since there is no particular, sustained job market for the degree, some concern should exist for the marketing of the degree program.
3. The curriculum of the proposed program needs further attention. As it is presently drawn, there could be considerable repetition in course content. For example, PHST 660 appears to cover much of the same material as PHIL 542 covered at the M.A. level. The same may be said of PHST 662 and HIST 509, as well as PHST 664 and PHST 521. We question the efficacy of teaching “broader” courses at the 600-level, which will include some information covered at the 500-level, when, in fact, the purpose of a doctoral program is specialization, not greater breadth. Finally, it is unclear how the qualitative and quantitative elements of the degree fit into the stated integrative purpose. If students move into separate tracks, is the interdisciplinary aspect of the program lost?
4. While it is by no means necessarily the case, we can foresee faculty problems. The program will need a faculty with distinctive research areas on the cutting edge of this particular field in order for the program to gain a reputation. But these research areas will necessarily fall out along separate disciplinary lines. This could complicate students’ ability to piece together committees that can examine and advise them at the doctoral level, as so many different disciplines would be involved in advising a singular research project. Again, this is by no means a certainty, but it is an issue that needs further examination.
5. Finally, careful thought must be given to the relationship of this degree to existing graduate programs, particularly in SPEA. While good programs can become stronger by propping one another up, care must be taken not to harm a nationally-recognized program in pursuit of a degree program in an, as yet, unformed field.

Proposed School of Philanthropic Studies

The review team found the proposal for creating a School of Philanthropic Studies intriguing. We did not have sufficient information, or the opportunity to analyze the proposal in-depth, in order to render a definitive judgment, but we encourage further exploration of this initiative. Based on what we have been able to discern, the proposal offers substantial potential benefits but also poses a number of risks. The potential benefits include the following:

- 1) The School would be the first of its kind in the country, indeed the world. It would be the first time that study of the study of nonprofits and philanthropy is elevated to the full-fledged status of other academic and professional disciplines. As such, the proposal embodies the potential to significantly raise the status of this field throughout American higher education, as other institutions are likely to study this development with interest.
- 2) Having a school is likely to re-enforce Indiana University's status as a leading (flagship) institution in nonprofit and philanthropic studies and to give greater visibility to its special approach based on the liberal arts.
- 3) Moving to a school structure is likely to simplify the administrative burden now placed on the Center on Philanthropy in connection with developing and overseeing educational programming, engaging faculty and allocating resources. The present arrangement can best be described as "awkward" although the various administrators involved have made the system work fairly well through their patience and good will. In the long run, a school structure would probably make programs now administered by the Center on Philanthropy less vulnerable to political tensions within the university and would probably raise the potential for growth and development of these programs over time.
- 4) Having a School of Philanthropy would create exciting new opportunities for resource development, including naming opportunities for private donors, creation of endowments, and possibly additional state appropriations.
- 5) The new School would improve the reward structure for faculty doing research and teaching in philanthropic studies. Faculty with full or joint appointments in the school would be more likely to receive appropriate credit for their work in this field, in connection with promotion, tenure and compensation decisions.

The review team also perceives certain risks associated with the proposal to create a School of Philanthropic Studies, including the following:

- 1) By moving out of the School of Liberal Arts, the programs of the new school may drift away from their current anchor in the liberal arts. Since liberal arts now give the M.A. and other programs their special distinctiveness, we think that this would be a loss. If the school proposal is implemented, special attention needs to be given to preserving its grounding in the liberal arts.
- 2) Since nonprofit management is now the largest major in the School of Public and Environmental Affairs, SPEA could be at risk if nonprofit management is moved to the new School of Philanthropic Studies. In particular, SPEA enjoys a very high national

ranking in public administration. The implementation of a new School of Philanthropic Studies should be done in a way that does not jeopardize this ranking.

3) If a new School of Philanthropic Studies goes forward, it must be undertaken in a way that will avoid its becoming marginalized and disconnected from other parts of the university. Nonprofit and philanthropic studies is a multi-disciplinary field. As such it should remain closely tied to disciplines and faculty in other schools rather than become isolated within its own walls. Historically, schools in other multi-disciplinary fields, such as social work, offer a disconcerting prognosis, although schools of public affairs, for example, have been more successful. The School of Philanthropic Studies will need to be structurally innovative in order to avoid this problem.

4) The new School would entail additional costs associated with creating a new administrative infrastructure capable of handling the full array of functions of a full-fledged campus responsibility center.

5) The new School could be more vulnerable to state cutbacks and dislocations in the economy. Currently the programs of the Center on Philanthropy have some protection under the umbrella of a larger and friendly school of liberal arts, although a future change in the deanship of that school could bring uncertainty.

In summary, the review team appreciates the substantial potential for developing a new School of Philanthropic Studies and it urges full consideration of all the potential benefits and risks before moving ahead with this initiative. We have pointed out above that a separate School status would present some advantages as well as disadvantages compared with the status quo. As the process of considering the desirability of a new school goes forward, the team recommends that attention also be given to a third option - establishing a department of philanthropic studies within the School of Liberal Arts. By noting this alternative, we do not intend to recommend it as superior to either the School or status quo options, but only as being worthy of consideration.

The Center's Role at IUPUI

The review team found that the Center on Philanthropy and its M.A. programs contributed significantly to the core components of IUPUI's formally enunciated mission and self-characterization. In particular, the Center has served IUPUI by fostering interdisciplinary teaching and learning, by facilitating civic engagement, and by embodying and endorsing diversity. However, as it pursues ambitious plans for the future, the Center should bear in mind that structural and programmatic changes could limit the Center's ability to serve IUPUI as a whole.

Most striking has been the Center's ability to serve and represent IUPUI's commitment to interdisciplinary teaching and learning. Although there is some conflating of the interdisciplinary with the multidisciplinary among the Center's spokespeople, the Center's efforts have most certainly brought varied teachers and scholars together. In the words of one interviewed professor, "Philanthropy has proven a great lens to focus across disciplines." Blessed with unusual resources, the Center has provided grants for research and M.A. course

development; partially funded and helped recruit for interdisciplinary faculty lines; encouraged and facilitated joint degrees in different schools; mounted multidisciplinary symposia and conferences; and identified and developed links among disparate scholars and varieties of scholarship. In sum, the Center and especially its M.A. program have been a place of concourse and a bridge across IUPUI's many divides. According to one university official interviewed, "The Center has actually been interdisciplinary. It is more important in creating dialogue across fields than anything else at IUPUI."

In the area of civic engagement, another crucial component of IUPUI's mission and self-characterization, the Center on Philanthropy has also been a campus leader. It has made a range of foundations, non-profit organizations, and voluntary associations part of the Center's activities. The Center has also shared its expertise and excellent library with these organizations, and the Center's publications and consulting services have served the non-profit sector on a national and international level. Of particular note for purposes at hand has been the placement of M.A. students in internships in community non-profit organizations. Predictably, isolated students have been displeased with their placements, but in general the students have learned from the internships while simultaneously enriching the organizations in which they are placed. After graduation some M.A. students have also taken permanent positions in local foundations, non-profits and voluntary associations. According to one university administrator, "The M.A. has established incredible contacts with the community through its internships. Furthermore, a significant portion of all School of Liberal Arts internships are through the Center on Philanthropy."

With regard to diversity, the Center not only reflects the diversity in its faculty and students that is typical of the campus as a whole but also adds an additional variety of student to the IUPUI mix via the Executive M.A. The latter apparently enrolls students from distant parts of the country, many of whom are professionals in mid-career. The Executive M.A. gives these students the graduate-level enrichment and intellectual stimulation many find missing in their day-to-day work-lives. Like practicing lawyers who seek out continuing legal education programs in jurisprudence or legal history, we suspect - although we have no specific evidence about this - that these careerists relish an interdisciplinary study of philosophy grounded in the liberal arts. In this connection, the Executive M.A. is a potential model for IUPUI short-term and distance learning and a source of different students for an already admirably diverse campus.

The Center's plans to develop into a school are critiqued at greater length above, but this plan merits special scrutiny with reference to the Center's important role at IUPUI. One wonders if a school of philanthropy would serve IUPUI as well as the Center has, especially with regard to fostering interdisciplinary teaching and learning. It is conceivable that a school would develop its own faculty, tend primarily to its own responsibility center budget, and in the process of it all cease to be IUPUI's leading interdisciplinary force. A university's enunciated self-characterization, after all, often disguises those very aspects of a university which are in fact problems. With two university partners, a multitude of schools, and a commuting student body, IUPUI must always worry about fragmentation. Can IUPUI afford to have philanthropic studies stand apart? If the Center evolves into a school, what can be done to ensure that the school remains actively interdisciplinary and continues to draw IUPUI people and scholarly pursuits together?

The Center's Vision and the Integration of Center Programs

As noted above, we were asked to examine the M.A. programs (standard and executive) at the Center on Philanthropy, but also to consider the related issues of the desirability of establishing a Ph.D. program and of reorganizing the Center as a new School of Philanthropic Studies. This augmented agenda led the team to recognize and consider the entire range of Center activities. These include The Fundraising School, the Jane Addams Program, and the Summer Internship Program, and perhaps others of which we are not aware. Together with the two M.A. programs, these constitute a set of five major activities of the Center. We were provided with systematic information only about the standard M.A. Program, and so the following remarks about the various other Center programs should be understood as based on sketchy information.

Ideally, each of the Center's activities would (1) *contribute to the Center's "mission"*—which would be as clear and operational as possible, so that evidence of the Center's "success" in reaching its goals could be developed systematically, and (2) *be linked to each other* in ways that take advantage of the synergies among activities. All of the Center's activities clearly deal with (a) some form of education, provided to (b) an identifiable audience, and (c) some aspect of "philanthropic studies." There are significant distinctions, however, among the programs that are oriented toward either traditional M.A. students, executive M.A. students, post-baccalaureate non-degree students (through the Jane Addams Program), high school students (through the summer internship program), and professional fund raisers (through the Fundraising School program).

What is not evident is an overarching conception, or "vision," of how these various program elements do, and "should," relate to each other. Such a focus would lead to consideration of how each program could be strengthened by integrating it with one or more of the other program elements. Currently, each program appears to be very largely independent of all the others. A clear example, described to us by two students, involves the relationship between the standard M.A. program and The Fundraising School program. The students described their frustration over attempts to participate in a Fundraising School program; ultimately, they were permitted to participate, but they described the need to struggle and complain to be allowed into a Fundraising School course. Students who are less-determined or less-aggressive would be deterred, to the detriment of the M.A. students and, perhaps, participants in The Fundraising School program, whom might benefit from interaction with M.A. students.

The review team is mindful of its incomplete information. Nevertheless, what is clear is that the Fundraising School and the M.A. program are not seen by the leadership of the Center as complementary programs that would benefit from at least partial integration, but as separate programs addressed to distinct audiences. Opportunities to strengthen both programs by linking them creatively would seem to have substantial potential.

Similarly, the apparent isolation of the Jane Addams Program from the other Center activities was a strong impression with which we were left. We were provided with no evidence that, for example, the two groups of students, many of whom are of similar age and interests, are brought together in organized ways to the benefit of both. We learned of no efforts to encourage the Jane Addams Fellows to join the M.A. students in at least some classes or internship arrangements, nor to encourage M.A. students to bring their prior job-related experience and the internship experience that many of them have had, to the Jane Addams Fellows so as to enrich the latter program.

These are but two illustrations. A clear vision of the Center's goals, together with careful development of instruments through which each program element could be deployed to benefit one or more of the other elements, could bring a sense of intellectual excitement to each of the target groups as well as to the faculty involved. The review team believes that thoughtful efforts to bring about greater program interaction have substantial promise.

Summary

Overall, the review team found the M.A. program to be a successful program and we especially applaud its unique grounding in the liberal arts. We found this program to be basically sound in its conceptualization and implementation with only a few areas requiring special attention. In particular, we recommend that time be taken to further clarify the program's goals, review its admissions requirements, strengthen its capacity to advise students and to develop their career opportunities, and develop a required capstone experience. We also recommend expanding the scope of the internship experience so that it embraces a wider array of placement opportunities, both programmatically and geographically. In particular, we encourage more placements in the field of grant-making organizations. To enhance both the internship and career development dimensions of the M.A. program, we encourage the Center on Philanthropy to exploit opportunities for students to come into contact with nonprofit professionals who may visit the center for other reasons, and we recommend consideration of a new course on philanthropic careers in the curriculum.

The review team also found the proposed Ph.D. in Philanthropic Studies to be an exciting prospect. It would be unique in its special focus on philanthropy, and it would draw strength from its grounding in the liberal arts and multi-campus affiliation. We recommend that several aspects of the proposed program be given special attention at this stage, including identifying a signature area of research, defining the market for its graduates, and clearly differentiating its required courses from the courses in the M.A. program. We also recommend attention to certain issues that would likely emerge, including how to maintain the interdisciplinary character of the program in view of faculty specializations, and how to implement this degree program without weakening other programs such as the doctorate in public administration.

The review team found the proposal for creating a School of Philanthropic Studies similarly intriguing. We appreciate the substantial potential for developing such a school and we urge full consideration of all the potential benefits and risks before moving ahead with this initiative. We also suggest that other alternatives such as a department of philanthropic studies

could be considered. Benefits of a new school include further recognition of IUPUI as a leading institution in philanthropic studies, enhancement of the status of the field of philanthropic studies as a whole, new opportunities for resource development, easing of some of the administrative problems associated with the current structure of the center, and improving the reward structure for faculty engaged in this field. Potential risks include loss of a strong anchor in the liberal arts, diminishing of the School of Public and Environmental Affairs, loss of interdisciplinary character, greater vulnerability to cutbacks in state funding, and additional infrastructure costs.

The team recognizes that the Center on Philanthropy has been a campus leader with respect to several important IUPUI goals, including interdisciplinary teaching and learning, civic engagement, and enhancement of diversity of students and faculty. We urge that these contributions be taken into account in the development of future plans. We also recognize that the Center on Philanthropy oversees a multifaceted program within which the M.A. program is one important part. Accordingly, we encourage the center's leadership to exploit the complementarities among these its diverse initiatives by coordinating them in useful ways and tying them to a common, clearly articulated vision.

TABLE 3:
NEW ACADEMIC DEGREE PROGRAM PROPOSAL SUMMARY
10 January 2002

I. Prepared by Institution

Institution/Location: Indiana University-Purdue University Indianapolis
 Program: Ph.D. in Philanthropy
 Proposed CIP Code: 309995
 Base Budget Year: 2001-02

	Year 1 2003-04	Year 2 2004-05	Year 3 2005-06	Year 4 2006-07	Year 5 2007-08
Enrollment Projections (Headcount)	5	10	15	18	21
Enrollment Projections (FTE)	2	5	8	9	9
Degree Completion Projection	0	0	2	2	5
New State Funds Requested (Actual)	\$ (12,100)	\$ (24,200)	\$ (38,000)	\$ (44,900)	\$ (44,900)
New State Funds Requested (Increases)	\$ (12,100)	\$ (12,100)	\$ (13,800)	\$ (6,900)	\$ 0

II. Prepared by Commission for Higher Education

New State Funds to be Considered for Recommendation (Actual)	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
New State Funds to be Considered for Recommendation (Increases)	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____

CHE Code:	Comment:
Campus Code:	
County Code:	
Degree Level:	
CIP Code:	

Campus: Indiana University-Purdue University Indianapolis
 Program: Ph.D. in Philanthropy
 Date: 10 January 2002

TABLE 1: PROGRAM ENROLLMENTS AND COMPLETIONS
 Annual Totals by Fiscal Year (Use SIS Definitions)

	Year 1 2003-04	Year 2 2004-05	Year 3 2005-06	Year 4 2006-07	Year 5 2007-08
A. Program Credit Hours Generated					
1. Existing Courses	57	108	177	204	204
2. New Courses	15	36	51	60	60
Total	72	144	228	264	264
B. Full-time Equivalents (FTEs)					
1. Generated by Full-time Students	1	2	4	4	4
2. Generated by Part-time Students	1	2	4	5	5
Total	2	5	8	9	9
3. On-Campus Transfers	0	0	0	0	0
4. New-to-Campus	2	5	8	9	9
C. Program Majors (Headcounts)					
1. Full-time Students	2	4	6	6	6
2. Part-time Students	3	6	9	12	15
Total	5	10	15	18	21
3. On-Campus Transfers	0	0	0	0	0
4. New-to-Campus	5	10	15	18	21
5. In-State	5	10	15	18	21
6. Out-of-State	0	0	0	0	0
D. Program Completions					
			2	2	5

Campus: Indiana University-Purdue University Indianapolis
Program: Ph.D. in Philanthropy
Date: 10 January 2002

TABLE 2A:
TOTAL DIRECT PROGRAM COSTS AND SOURCES OF PROGRAM REVENUE

	Year 1		Year 2		Year 3		Year 4		Year 5	
	FTE	2003-04	FTE	2004-05	FTE	2005-06	FTE	2006-07	FTE	2007-08
A. Total Direct Program Costs										
1. Existing Departmental Faculty Resources	0.0	\$ 0	0.0	\$ 0	0.0	\$ 0	0.0	\$ 0	0.0	\$ 0
2. Other Existing Resources		0		0		0		0		0
3. Incremental Resources (Table 2B)		25,000		85,000		105,000		105,000		105,000
TOTAL		\$ 25,000		\$ 85,000		\$ 105,000		\$ 105,000		\$ 105,000
B. Sources of Program Revenue										
1. Reallocation		\$ 0		\$ 0		\$ 0		\$ 0		\$ 0
2. New-to-Campus Student Fees		12,300		24,700		39,000		45,200		45,200
3. Other (Private gifts and grants)		16,400		67,700		77,400		73,900		73,900
4. New State Appropriations										
a. Enrollment Change Funding		8,400		16,800		26,600		30,800		30,800
b. Other State Funds		(12,100)		(24,200)		(38,000)		(44,900)		(44,900)
TOTAL		\$ 25,000		\$ 85,000		\$ 105,000		\$ 105,000		\$ 105,000

Campus: Indiana University-Purdue University Indianapolis
Program: Ph.D. in Philanthropy
Date: 10 January 2002

TABLE 2B:
DETAIL ON INCREMENTAL OR
OUT-OF-POCKET DIRECT PROGRAM COSTS

	Year 1		Year 2		Year 3		Year 4		Year 5	
	FTE	2003-04	FTE	2004-05	FTE	2005-06	FTE	2006-07	FTE	2007-08
1. Personnel Services										
a. Faculty	0.0	0	0.5	40,000	0.5	40,000	0.5	40,000	0.5	40,000
b. Support Staff	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0
c. Graduate Teaching Assistants	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0
Total Personnel Services		0		40,000		40,000		40,000		40,000
2. Supplies and Expense										
a. General Supplies and Expense		0		0		0		0		0
b. Recruiting		5,000		5,000		5,000		5,000		5,000
c. Travel		0		0		0		0		0
d. Library Acquisitions		0		0		0		0		0
Total Supplies and Expense		5,000		5,000		5,000		5,000		5,000
3. Equipment										
a. New Equipment Necessary for Program										
b. Routine Replacement										
Total Equipment		0		0		0		0		0
4. Facilities		0		0		0		0		0
5. Student Assistance										
a. Graduate Fee Scholarships		0		0		0		0		0
b. Fellowships		20,000		40,000		60,000		60,000		60,000
Total Student Assistance		20,000		40,000		60,000		60,000		60,000
Total Incremental Direct Costs	\$	25,000	\$	85,000	\$	105,000	\$	105,000	\$	105,000

TABLE 3:
NEW ACADEMIC DEGREE PROGRAM PROPOSAL SUMMARY
10 January 2002

I. Prepared by Institution

Institution/Location: Indiana University Bloomington
 Program: Ph.D. in Philanthropy
 Proposed CIP Code: 309995
 Base Budget Year: 2001-02

	Year 1 2003-04	Year 2 2004-05	Year 3 2005-06	Year 4 2006-07	Year 5 2007-08
Enrollment Projections (Headcount)	3	6	9	10	11
Enrollment Projections (FTE)	2	3	5	6	6
Degree Completion Projection	0	0	2	2	3
New State Funds Requested (Actual)	\$ (9,400)	\$ (19,000)	\$ (31,500)	\$ (31,500)	\$ (31,500)
New State Funds Requested (Increases)	\$ (9,400)	\$ (9,600)	\$ (12,500)	\$ 0	\$ 0

II. Prepared by Commission for Higher Education

New State Funds to be Considered for Recommendation (Actual)	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
New State Funds to be Considered for Recommendation (Increases)	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____

CHE Code: _____ Comment: _____
 Campus Code: _____
 County Code: _____
 Degree Level: _____
 CIP Code: _____

Campus: Indiana University Bloomington
Program: Ph.D. in Philanthropy
Date: 10 January 2002

TABLE 1: PROGRAM ENROLLMENTS AND COMPLETIONS
Annual Totals by Fiscal Year (Use SIS Definitions)

	<u>Year 1</u> <u>2003-04</u>	<u>Year 2</u> <u>2004-05</u>	<u>Year 3</u> <u>2005-06</u>	<u>Year 4</u> <u>2006-07</u>	<u>Year 5</u> <u>2007-08</u>
A. Program Credit Hours Generated					
1. Existing Courses	39	72	123	132	132
2. New Courses	9	24	33	36	36
Total	48	96	156	168	168
B. Full-time Equivalents (FTEs)					
1. Generated by Full-time Students	1	2	4	4	4
2. Generated by Part-time Students	0	1	1	2	2
Total	2	3	5	6	6
3. On-Campus Transfers	0	0	0	0	0
4. New-to-Campus	2	3	5	6	6
C. Program Majors (Headcounts)					
1. Full-time Students	2	4	6	6	6
2. Part-time Students	1	2	3	4	5
Total	3	6	9	10	11
3. On-Campus Transfers	0	0	0	0	0
4. New-to-Campus	3	6	9	10	11
5. In-State	0	0	0	0	0
6. Out-of-State	3	6	9	10	11
D. Program Completions					
			2	2	3

Campus: Indiana University Bloomington
Program: Ph.D. in Philanthropy
Date: 10 January 2002

TABLE 2A:
TOTAL DIRECT PROGRAM COSTS AND SOURCES OF PROGRAM REVENUE

	Year 1		Year 2		Year 3		Year 4		Year 5	
	FTE	2003-04	FTE	2004-05	FTE	2005-06	FTE	2006-07	FTE	2007-08
A. Total Direct Program Costs										
1. Existing Departmental Faculty Resources	0.0	\$ 0	0.0	\$ 0	0.0	\$ 0	0.0	\$ 0	0.0	\$ 0
2. Other Existing Resources		0		0		0		0		0
3. Incremental Resources (Table 2B)		25,000		85,000		105,000		105,000		105,000
TOTAL		\$ 25,000		\$ 85,000		\$ 105,000		\$ 105,000		\$ 105,000
B. Sources of Program Revenue										
1. Reallocation		\$ 0		\$ 0		\$ 0		\$ 0		\$ 0
2. New-to-Campus Student Fees		25,200		50,500		82,000		88,300		88,300
3. Other (Private Gifts and Grants)		9,200		53,500		54,500		48,200		48,200
4. New State Appropriations										
a. Enrollment Change Funding		0		0		0		0		0
b. Other State Funds		(9,400)		(19,000)		(31,500)		(31,500)		(31,500)
TOTAL		\$ 25,000		\$ 85,000		\$ 105,000		\$ 105,000		\$ 105,000

Campus: Indiana University Bloomington
Program: Ph.D. in Philanthropy
Date: 10 January 2002

TABLE 2B:
DETAIL ON INCREMENTAL OR
OUT-OF-POCKET DIRECT PROGRAM COSTS

	Year 1		Year 2		Year 3		Year 4		Year 5	
	FTE	2003-04	FTE	2004-05	FTE	2005-06	FTE	2006-07	FTE	2007-08
1. Personnel Services										
a. Faculty	0.0	0	0.5	40,000	0.5	40,000	0.5	40,000	0.5	40,000
b. Support Staff	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0
c. Graduate Teaching Assistants	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0
Total Personnel Services		0		40,000		40,000		40,000		40,000
2. Supplies and Expense										
a. General Supplies and Expense		0		0		0		0		0
b. Recruiting		5,000		5,000		5,000		5,000		5,000
c. Travel		0		0		0		0		0
d. Library Acquisitions		0		0		0		0		0
Total Supplies and Expense		5,000		5,000		5,000		5,000		5,000
3. Equipment										
a. New Equipment Necessary for Program										
b. Routine Replacement										
Total Equipment		0		0		0		0		0
4. Facilities		0		0		0		0		0
5. Student Assistance										
a. Graduate Fee Scholarships		0		0		0		0		0
b. Fellowships		20,000		40,000		60,000		60,000		60,000
Total Student Assistance		20,000		40,000		60,000		60,000		60,000
Total Incremental Direct Costs	\$	25,000	\$	85,000	\$	105,000	\$	105,000	\$	105,000

Outline for Reviewers Comments

Review of Proposal

Documents reviewed: **Proposal for a PhD in Philanthropic Studies**

Summary: This is a proposal for a new PhD in Philanthropic Studies that will be available on both the IUPUI and Bloomington campuses. The degree will prepare students for teaching and research, and for management positions in nonprofit organizations. This degree program is very interdisciplinary and will depend on instruction and advising from a variety of faculty members on both campuses.

Recommendation: **Accept without revision**

Discussion: This is a strong proposal developed by faculty members representing a variety of disciplines. This degree is the first in the nation in the area of philanthropy and, so, could bring recognition to IUPUI. There are opportunities for joint degrees with other disciplines. The multi-site, interdisciplinary nature of this degree proposal offers an opportunity to make use of faculty resources on both campuses for course development and instruction, and for mentorship for students. The curriculum has a good balance between required and elective courses and includes six hours in research methods. The 12 hours of core courses give students a good grounding in theory, research design, methodological issues, and ethical considerations related to philanthropic studies.

While it is a strength that the degree program will depend on faculty members from many disciplines, this arrangement has a potential for risks that should be considered, so, if possible, they can be decreased or avoided. What are the incentives for faculty members who have responsibilities in their respective departments to devote time to instruction, mentoring, and dissertation supervision of students in philanthropic studies? How will a body of research focused on philanthropic studies be developed when the faculty members are focused on research related to their own discipline?

Outline for Reviewers Comments

Review of Proposal for PhD in Philanthropic Studies

March 21, 2002

Documents reviewed: Proposal for a PhD in Philanthropic Studies, Feb. 2002
Process, Guidelines, Policies, and Procedures for Developing New Academic Programs at IUPUI

Summary:

This proposal is for a new 90-credit PhD in Philanthropic Studies to be offered at both the IUPUI and Bloomington campuses. The program builds on the foundation of the MA in Philanthropy initiated in 1993 and the PhD Minor in Philanthropy started in 1995. The proposed program is a liberal arts-based, interdisciplinary, research-focused program. It will be the first PhD program in Philanthropic Studies in the country. Planning for the new PhD program was done by a 13 member faculty and practitioner committee established by Chancellor Bepko in July 2000. At least 3 new core courses will need to be developed as part of the program. Many of the resources to support the program including existing courses, faculty, and library resources are already in place. One new faculty line is requested.

Recommendation:

Accept with revision

Discussion: This is a strong, comprehensive (50 pgs including appendices) proposal that (for the most part) follows the format for proposing new degree programs as specified in the *Process, Guidelines, Policies and Procedures for Developing New Academic Programs at IUPUI*. (Since the proposed program is for the Bloomington campus as well, it may be wise to check if the proposal meets the guidelines for proposing new degree programs for that campus as well). A prior review team that approved the MA in Philanthropic Studies found an earlier proposal for a PhD in Philanthropic Studies “an exciting prospect” and identified both risks and benefits of such a program (pp. 39-40) which were carefully considered by the Planning Committee. The proposal included a list of 20 individuals who have already inquired about the availability of a PhD in Philanthropic Studies. I strongly recommend that the required courses for the degree include an internship (see below).

Areas that I would like to see clarified or modified are as follows:

- Clarify how much the coursework overlaps or does not overlap with courses for the MA in Philanthropy. Will there be sufficient unique philanthropy courses at the doctoral level for students who already have the MA?
- Add an applied learning experience such as a practice or research internship. If the MA program already includes a practice internship and since the PhD program is focused on research, perhaps a 3 or 6 credit hour research internship would be a good idea.
- Social Work could be added (on pps. 4 & 7) as an example of a partnering discipline and source of an external minor for Philanthropy students
- Is the availability of the Qualifying Exam at only 2 times of the year sufficient? What is the rationale for an “in-class” exam as opposed to a Qualifying Paper?
- Will it be necessary for students to take courses on both campuses in order to meet the course requirements? If so, will this be a hindrance to potential applicants?
- Is the Director of Academic Programs in a position to direct the new PhD program in addition to his/her existing responsibilities?
- What is the policy on admissions and retention?
- Who will be responsible for advising?
- Who are the program’s community partners? Could some community partners provide internship opportunities?
- Is any other institution working on developing such a program?

Reviewer: