2001-2002 University Library

Section	Document Name

Mission

Vision/Mission:

Wisdom demands the sharing of knowledge. We therefore collect, organize, and assist in the use of the record of human understanding. We preserve the records of the past; we help individuals inform themselves in the present, and we shape the information environment for the future.

The IUPUI University Library honors tradition, but looks to the innovative application of technology and new forms of engagement with our various publics as our path to excellence.

Vision: To be the innovative leader among urban university libraries

Mission:

– To promote excellence in learning

– To serve as a gateway to information vital for research and scholarship

– To create unique scholarly resources with an emphasis on philanthropic studies, IUPUI generated research, and materials relating to central Indiana

– To be the primary informal learning space on the IUPUI campus

– To enhance the availability of scholarly information for the residents of Central Indiana

The mission of the IUPUI University Library is derived from and aligned with the IUPUI Mission Statement.

Values: IUPUI University Library is committed to:

– Creativity and innovation

– Collaboration and teamwork

– Individual and organizational learning

– Trust

– Diversity

– Opportunity

– Accountability

– Academic and intellectual freedom

In support of these values, IUPUI University Libraries endorses the American Library Association's Library Bill of Rights.

Goals and Objectives

A. Excellence in Teaching and Learning

1. Integrate librarians into curriculum development and delivery in order to teach the critical thinking skills related to information for entry students by contributing to Learning Communities and Gateway Courses.

Campus Planning Theme: Teaching and Learning
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

In 2001/02 librarians participated in all 128 learning communities. This is the same level of involvement as past years. Librarians are engaged in all of the major teaching and learning initiatives on the campus including the Gateway Initiative, the Electronic Portfolio Project, the development of the critical inquiry courses, the Summer Bridge Program and a variety of retention efforts. Research conducted by Bill Orme in the spring semester indicated that a web-based tutorial using active learning techniques could be an effective in teaching students basic library skills and concepts.

Evidence of Progress for 2001-2002:

Students master information literacy skills. Librarians are engaged in curriculum development. These measures have not yet been developed to the point that we can institute a program of assessment.

Activities planned for 2002-2003:

Continue involvement in learning communities, while developing a web-base tutorial to assist in this effort. A one-year part-time position was established to modify the TILT program developed at the University of Texas. Engage in the Gateway initiative. Begin integration of library/information literacy instruction into the critical inquiry program. Explore articulated library instruction program with the Writing Program.

2. Provide more advanced students with discipline-based library skills by working with departments and schools.

Campus Planning Theme: Teaching and Learning
Secondary Goals:

Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

The reorganization of librarians who are engaged in liaison activities into client-based groups completed its first year. Three reference librarian positions were filled to bring these teams up to their full strength.

Evidence of Progress for 2001-2002:

In 2001/02 conducted 66 instruction sessions in non-learning community classes. This is up from 46 sessions in 2000/01.

Activities planned for 2002-2003:

The liaisons for the various schools and departments will begin working with these units to establish library and information skills that are needed for students as they enter their majors and what they are expected to know when they graduate. In conjunct with the units the library"s liaisons will begin developing programs that meet these requirements.

4. Maintain the quality of the space and technology in the University Library facility. Provide support for the use of the technology, information resources, and collections housed in the library.

Campus Planning Theme: Teaching and Learning
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

The library continues to maintain approximately 350 public computer workstations on a three-year replacement cycle with a full set of software resources available on the machines.

The food and drink policy in the library was modified to allow food in some areas and drinks in appropriate containers throughout most of the library.

Planning is nearly complete for the new Ruth Lilly Art Library, which will open in the fall of 2004 and will replace the current Herron Library, which is severely inadequate.

Evidence of Progress for 2001-2002:

The gate count for the library increased 14.8% from 911,566 in 2000/01 to 1,046,533 in 2001/02. Student satisfaction with the library hours, as measured by the campus survey, has continued to increase in the 2001 student survey.

During peak hours (10:00 a.m. to 6:00 p.m. during the fall and spring semesters) nearly 85% of the library's public computers were in use. The number of computer applications launched from library workstations increased 20.3% from 186,966 applications launched in 2000/01 to 244,863 applications launched in 2001/02.

Activities planned for 2002-2003:

Continue to maintain and upgrade computer equipment. Renovate and expand a sample area of the computer workstations. Seek external funding for the renovation of Levels 3 and 4. In conjunction with the Herron School of Art, complete the planning, construction, and occupation of the Ruth Lilly Art Library.

3. Develop systems that enhance the ability of users to effectively use the library's collections and services.

Campus Planning Theme: Teaching and Learning
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

Continue to provide reference support and computer assistance.

Continue the development of the electronic reserve system. As part of a university-wide effort, continued to develop SIRSI"s Unicorn library automation system. (Think of this as the library"s equivalent of PeopleSoft.)

Continued to develop the library"s web site as a research resource for the campus.

Fully deployed SFX, a system for linking web-based resources.

Chat-based reference service was established.

Evidence of Progress for 2001-2002:

Reference transactions were up slightly from 50,736 in 2001/01 to 52,536 in 2001/02. This is counter to reported national trends that show reference transactions declining.

Activities planned for 2002-2003:

Evaluate reference service and revise as appropriate. Continue to develop the electronic reserve system. Continue developing the library"s web site with deployment of a new site scheduled for the second half of calendar 2004.

Continue to maintain and expand SFX. Evaluate and refine remote reference service.

B. Excellence in Research, Scholarship, and Creative Activity

1. Develop collections, in print and electronic formats, to support the instructional and research programs of the schools supported by the University Library.

Campus Planning Theme: Research, Scholarship and Creative Activity
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing.

Actions taken for 2001-2002:

We have continued to communicate with schools and their faculty on this issue. In the spring of 2001, the School of Science cut nearly $80,000 from their journals budget. The School of Engineering and Technology cut approximately $25,000 from their journals budget. Other schools made adjustments in their journal list and approval plans.

Evidence of Progress for 2001-2002:

Faculty and administrators are aware of this situation and have to be cooperative in addressing it. Circulation of print materials increased 18.7% from 231,181 in 2000/01 to 274,389 in 2000/01. The campus faculty survey (last conducted in 2000) indicates that the University Library is the most important campus service and that it is second in use and quality (in both of these cases the professional school libraries were first). Student satisfaction, as measured by the campus survey, with the "availability of needed reference materials in the library" has consistently increased (the last survey was conducted in 2001).

Activities planned for 2002-2003:

We will continue to allocate resources to support schools based on the percentage of allocated expenses provided to the library by the school. Given that it is unreasonable to expect resources to keep up with the rate increase in the price of scholarly resources, particularly scientific and technical journals published by for-profit publishers, we can expect the purchasing power of the library"s materials budget to continue to decline.

In managing this decline we will resist cross-school subsidies and work with the faculty to establish purchases that directly support academic programs. This will be a difficult and painful process.

Review and refine the role of gifts in building our print collections. Review and refine the federal depository collection.

2. Migrate from print-based collections to web-based collections or other delivery mechanisms that are clearly superior to commercial providers at the undergraduate level, and which provide 50% to 75% of the support for research in science, technology, and in a majority of the professional programs.

Campus Planning Theme: Research, Scholarship and Creative Activity
Secondary Goals:
Sub Unit: n/a
Time Frame: Accomplish by 2004/05

Actions taken for 2001-2002:

We currently spend about 25% of the materials budget on electronic resources. Catalog records for over 5,000 netLibrary e-books were added to the catalog. Business and technology e-book collections from Books 24x7 were purchased and records for these collections were added to the collection.

Evidence of Progress for 2001-2002:

We subscribe to approximately 1,800 electronic journals, up from 1,050 a year ago. Nearly 8,000 electronic books are

available.

Use of most electronic library resources continues to increase, though the huge increases of recent years have slowed. Current increases are more gradual, but still significant. For example, the number of articles retrieved from EBSCO (a large general and business collection) increased 9.3% from 251,336 articles retrieved in 2000/01 to 274,725 articles retrieved in 2001/02, and JSTOR (a large web-based historical collection of scholarly journals) use increased 18.7% from 91,054 total uses in 2000/01 to 108,059 total uses in 2001/02. The number of accesses to electronic books rose from 357 accesses in 2000/01 to 2,712 accesses in 2001/02. The material is clearly just beginning to be used. We expect usage to build significantly in the next year.

Activities planned for 2002-2003:

Continue to expand spending on electronic materials with a particular focus on scholarly electronic journals. Spending should reach 40% to 50% by 2004/05.

3. Develop mechanisms to provide access to materials not owned by the University Library.
Campus Planning Theme: Research, Scholarship and Creative Activity
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

Worked on developing the policies and procedures to implement direct user loans within the Indiana University system. This will be turned on in January 2003. Our attempts to deploy an automated interlibrary loan system failed when the company from which we had purchased the system went out of business.

Evidence of Progress for 2001-2002:

Progress will be measured by the number of requests filled, by the fill rate on requests, and by the turnaround time between request and the delivery of the material to the requesting user. Over the past several years the number of request has gone down; the fill rate has remained constant, and the turnaround time has declined.

Activities planned for 2002-2003:

Reevaluation and reworking of our interlibrary loan system will be undertaken in 2002/03. Direct user loans in the IU system will be initiated in January 2003.

4. Develop the premiere philanthropic studies collection in the country, in all formats.
Campus Planning Theme: Research, Scholarship and Creative Activity
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

The archival collections of the National Junior Achievement organization and the Indianapolis Foundation where donated to the library along with gifts to pay for the processing of these materials. Pilot project initiated to "archive" 750 U.S. Foundation websites.

Evidence of Progress for 2001-2002:

Reference use in FY2001/2002 of the Philanthropic Studies Collections in the Philanthropic Studies Library and the Special Collections and Archives remained about even with the previous year, following large increases over the previous three years. Tours were conducted for over 150 visitors, including academic groups from Italy, Korea, China, Hong Kong, France, and the Netherlands, as well as U.S. organizations such as Prevent Blindness America and the Consortium of Philanthropy Librarians. Use statistics (from 9/2001-8/2002) for the Philanthropic Studies Index indicate nearly 15,000 hits during over 2,100 visitor sessions, resulting from over 1,200 unique visitors.

Activities planned for 2002-2003:

Complete pilot project for "archiving" U.S. Foundation websites and establish ongoing, systematic "archiving".

Develop a Philanthropic Studies Collections Advisory Group with a national membership to advise the UL on collection development issues.

Best Practices

Improve Library Operations

Campus Planning Theme: Best Practices
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

The library's engagement with the freshman experience continues to be a national model.

The library continues to be a leader in the use of OpenURL linking products (SFX is the particular product we use). This is at the forefront of bibliographic control for electronic resources.

The library is a leader in the use of e-books, particularly through its role in the Marion County Internet Library.

The library migrated from its original electronics reserve system to a second-generation system in time for the opening of the first summer session.

The library participated in the test of the TimeKeeper and the HRMS systems.

Evidence of Progress for 2001-2002:

null

Activities planned for 2002-2003:

null

C. Excellence in Civic Engagement

1. To enhance the availability of scholarly information for the residents of Central Indiana. Welcome non-IUPUI users to the University Library and make the community aware of the services that are available to them.

Campus Planning Theme: Civic Engagement
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

Promoted the library to the community using a listing in the Indianapolis Business Journal and sponsorship on WFYI. We routinely arrange for visits of high school classes to use the library"s resources.

Evidence of Progress for 2001-2002:

Based on a variety of indicators, including circulation of books and the library"s annual survey approximately 10% of the use make of the library is by individuals not affiliated with the university.

Activities planned for 2002-2003:

An arrangement whereby Indianapolis Marion County Public Library cards can be used in the University Library will be initiated.

2. Develop programs that take advantage of the University Library's facilities to expand cultural and scholarly activities on campus.

Campus Planning Theme: Civic Engagement
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

Displays and exhibitions within the UL facility have included works and creations by IUPUI Herron Art School students, a history of the schools that became IUPUI drawn from the University Library Archives and Special Collections, photography documenting a march for Tibetan independence, a history of black American art with supporting lecture, a photographs of the German-American Turners, and student work from the Interior Design program. Of special note was the display of six panels of the national AIDS quilt in the atrium.

Cultural collaborations with campus and community organizations have been maintained, including the IUPUI English Department Rufus Reiberg Series featuring readings by nationally known poets.

All programs and displays sponsored by the University Library are free and open to the public.

Use of the facility by campus and community groups includes daily scheduling of over eighteen meeting rooms, general and computer classrooms, and group study rooms, with capacity ranging from 100-seat Lilly Auditorium to Large Group Study Rooms seating 8-10. The range of activities in these rooms includes formal courses, student organization academic and cultural events, academic units hosting campus and community programs, performances, workshops and seminars, and an increasing level of community information and cultural presentations.

Evidence of Progress for 2001-2002:

Ongoing partnerships, collaborative programming and continued requests for facility use provide strong indicators of consistent interest and support of the UL programs and services. Individual correspondence of acknowledgement from patrons and those using the facility also indicate satisfaction and areas of concern, which are reflected in organizational planning.

Examples of collaborative work done during the year to bolster campus and community relationships include securing a co-sponsorship with University College to return of the Bookmarks Campus/Community Reading Program to the Library premises beginning Fall 2002, and the ALA traveling exhibition "Frankenstein: Penetrating the Secrets of Nature," involving a collaboration with 14 other campus units, for fall of 2002.

Activities planned for 2002-2003:

The current mix of programs, services and facility use will be continued and new opportunities explored.

3. Contribute to cooperative with other libraries in activities that enhance the library and information resources available to Indianapolis and the state of Indiana.

Campus Planning Theme: Civic Engagement
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

Librarians from the University Library have played a major role in the creation and continued development of INSPIRE, the state-wide project to provide electronic resources to all the residents of Indiana. Librarians from the University Library have played a leadership role in the creation and continued development of the Marion County Internet Library, a project of the Indianapolis Foundation Library Partners to provide web-based resources to the residents of Marion County. Librarians from the University Library were engaged in the Lilly Endowment funded project to develop cooperative projects among all of the academic libraries in the state.

Evidence of Progress for 2001-2002:

The IUPUI receives free access to web-based resources that would cost well in excess of $100,000 to provide. The academic libraries of Indiana project has the potential to be particularly valuable.

Activities planned for 2002-2003:

Continue involvement in INSPIRE and the Marion County Internet Library. Play a role in the Lilly Endowment funded PALNI project to further academic library cooperation among all of the academic libraries in Indiana.

E. Campus Climate for Diversity

Enhance Campus Climate for Diversity

Campus Planning Theme: Campus Climate for Diversity
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

The library continues to develop a collection that reflects the diversity in all its aspects.

In the past year the library add two Hispanic staff members, one librarian and one in a clerical position. Both serve in public services positions.

The number of library staff who are from minority groups increased eight to ten. The percentage increase was from 9.4% to 11.6%. The increase was in the clerical staff where minorities went from four to seven. There was a loss of one in the PA/TE ranks. The number of minority librarians remained constant despite the retirement of one minority librarian.

The library is actively involved in discussion with the School of Library and Information Science and the Indianapolis Foundation Library Partners about creating opportunities for under represented populations to enter the field of librarianship.

Evidence of Progress for 2001-2002:

null

Activities planned for 2002-2003:

null

Excellence in Research, Scholarship, and Creative Activity

8. Develop multiple funding mechanisms to establish and maintain high quality research facilities, including private partnerships, enndowments, indirect cost recovery, licensing income, and state and federal support.

Campus Planning Theme: Research, Scholarship and Creative Activity
Secondary Goals:

Sub Unit: n/a
Time Frame: Annually

Actions taken for 2001-2002:

2001-2002: License SignUp continuing education enrollment software. Obtain sponsorship for noncredit graduation and General Studies graduation breakfast.

Evidence of Progress for 2001-2002:

CLN has entered agreement with IUK, IUSE, and IUB to provide the use of the continuing studies enrollment software and training and technical assistance ($51,000 in service agreement revenue). CLN entered into an agreement with the Indiana State Human Resource Management Conference to provide enrollment services for their conference, and Indiana Department of Workforce Development Lifelong Learning Institute project with Office of Learning Partnerships.

Activities planned for 2002-2003:

2002-2003: License SignUp continuing education enrollment software. Promote SignUp conference and event enrollment services within IUPUI. Increase SignUp service agreements to fund IT development and improvement.

To create unique scholarly resources with an emphasis on philanthropic studies, IUPUI generated research, and materials relating to central Indiana

Campus Planning Theme: Research, Scholarship and Creative Activity
Secondary Goals:
Sub Unit: n/a
Time Frame: Ongoing

Actions taken for 2001-2002:

Acquired a software package named Content from the University of Washington. This system allows for the development of collections of visual materials. Created the infrastructure (hardware and software) needed to easily deploy text-based digital library projects using the DLSX software from the University of Michigan. This was funded with a RIF grant. Deployed initial resources in the area of philanthropic studies.

Continued to build the Philanthropic Studies Index and the German-Americana Index. SFX compatibility was added to the to the Philanthropic Studies Index.

Electronic Atlas of Central Indiana added the Digital Ortho Quads for all of Indiana and satellite imagery for the state. Historical maps were also added. Climate data is in process.

Initial planning has been completed for the digitization of the 990PFs (foundation tax forms). This is a unique collection held by the library. External funding is being sought, but the cost of the project is in excess of $1 million.

Evidence of Progress for 2001-2002:

Infrastructure for digital library is mostly in place. Production of text and image collections is underway.

Activities planned for 2002-2003:

Continue to expand philanthropic studies digital collections. Develop plan and funding proposals for the Indiana Voices project. The Indiana Voices project will digitize books by Indiana authors (most will be books published before 1923 and in the public domain). Develop a project to create a digital collection based on the fund raising collections in the archives. Begin developing external funding for this project.

Fiscal Health

The University Library finished 2001/02 with a small deficit and expects to finish 2002/03 with a similar small deficit. Both deficits can be covered by existing reserves.

The University Library requests that $300,000 be allocated to the library for 20003/04 from the funds raised by the new student tuition increase. Most of this funding will be used to raise the library's material budget to a level that will allow all schools to maintain their current journal collections.

It is assumed that any salary increases in 2003/04 will be covered by additions to base funding.

The University Library continues to face three fiscal challenges. Taken together these challenges, if not resolved, will slowly eat away at the libraries ability to develop and deliver library and information services at the level the campus requires.

Challenge One: Library Materials.

Like all academic libraries, the University Library faces a continuing difficulty maintaining the purchasing power of the library's

Like all academic libraries, the University Library faces a continuing challenge maintaining the purchasing power of the library's materials budget in the face of continuing unreasonable increases in the cost of scholarly materials, especially scientific and technical journals published by for-profit publishers. This will continue to put pressure on the schools that rely on this literature that can only result in a decline in the traditional and electronic collections that support these areas.

For the 2003/03 year there was no increase in the library's materials budget. In order to manage this situation, in the spring of 2002, the School of Science cut nearly $80,000 from their journals budget and the School of Engineering and technology cut nearly $25,000. Other schools experienced cuts in their book budgets. The largest decline was in Liberal Arts where the decline in the book budget was over $30,000.

If the materials budget remains flat in 2003/04, inflation will continue to cut purchasing power and there will be comparable declines. Increases in the materials budget of $300,000 are required to maintain the purchasing power of all of the schools. An increase of $250,000 will maintain the levels in most schools and will mean that additional cuts in School of Science journals can be delayed until the spring of 2004.

On an ongoing basis, we believe that a 3.5% to 5% annual increase in the library's materials budget is necessary to provide a reasonable level of support for most of the campus' programs.

The campus has identified the library as one of the sources for the expenditure of the funds raised through the new student tuition increase. The level of expenditure is identified as $1 million over the next five years. If the bulk of this funding is allocated to the materials fund the library will be able to maintain the purchasing power of the materials budget. During this period we expect some changes in the system of scholarly communication that should bring relief from the relentless increase in journal inflation.

Challenge Two: Compensation.

The second issue is the level of compensation for library staff.

The library clerical staff is compensated below the levels of the rest of campus and the average clerical staff member in the library has lost ground to inflation in the last ten years. Library faculty in entry-level positions are compensated at or slightly above market rates, but there continues to be compression for experienced librarians. The library is dependent on its ability to maintain a qualified technical staff. While market competition has lessened somewhat in recent years, this continues to be a concern.

Given the current fiscal constraints faced by the university and the state, the library is not requesting supplemental funding to address these concerns, but we may need to consider eliminating positions in order to address them.

Challenge Three: Technology.

The final issue is the need to continue to develop the library's technology base. Several years ago, the campus provided the base funding to support our public computing hardware. Because we continue to add equipment the level of life–cycle needs to continual increase to match these increases. Our current life-cycle replacement is probably three and a half years rather than three. This is manageable, but further increases will begin to cause problems.

We are also challenged to support the specialized software (both purchase cost and annual maintenance) and to expand to capability of our public workstations.

We have had some success in obtaining external funding for this purpose and will continue to aggressively pursue such funding,

but some base funding for this purpose is required. Again, given the current fiscal condition of the campus, we are not requesting new funds.

On the plus side (for the library if not our users), the library expects to begin charging for printing before the beginning of the next fiscal year. If we charge at the break-even level (three cents) we will save the $60,000 we currently spend on the paper and toner that we now give away. If we are able to reinvest this in our technology needs, we should be able to fund many of them.

Note on Fund Balance: The Fund Balance figures shown in the spreadsheet for the University Library include about $350,000 in encumbrances from the materials budget. In addition there is about $80,000 of funds from the Copyright Management Center which is part of the University Library RC. The actual reserves of the University Library are the amount of the required reserve.

Opportunity. One note on the fiscal health of the library is to point out the University

Library is as yet unnamed. This presents a great fund raising opportunity for the library and the campus. This opportunity will require the help of many people to fulfill.

If the library currently had the $10 million to $15 million endowment we hope to build, we would have the margin we need to assure that we will be able to maintain the level of excellence in library services the campus requires.

Reallocation Plan

2002/03

No campus reallocation funds received.

2001/02

Amount Received: $200,000

Goal: To serve as a gateway to information vital for research and scholarship.

Objective: Develop collections, in print and electronic formats, to support the instructional and research programs of the schools supported by the University Library.

Use of Funds/Actions Taken: The funds were allocated as part of the library's materials budget. This represents a 7.9% increase in the materials budget from 1999/00. This increase should allow for the maintenance of the purchasing power in most areas.

Evidence of Impact or Progress: The materials budget was able to be maintained at its current purchasing power for another year.

Amount Received: $52,185

Goal: To serve as a gateway to information vital for research and scholarship.

Objective: Develop the premiere philanthropic studies collection in the country, in all formats.

Use of Funds/Actions Taken: This funding allowed us to meet a campus obligation to place onto base funding an archivist position that had been funded for the past five years as part of the Center on Philanthropy Lilly Endowment grant.

Evidence of Impact or Progress: The individual in this position was instrumental in obtaining the archival collections (with attendant financial support) from the Junior Achievement national organization. She has also developed significant connections with a variety of other foundations and philanthropic organizations. Because of our current ability to focus human resources in this area, we anticipate a continuing ability to develop our collections and programs.

Other Question(s)